Plasma Materials Interactions II: Revenge of the PMI

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with special thanks to G. Sinclair, J. Guterl

General Atomics

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My Career in Plasma Physics, So Far



PPPL / DIFFER (grad school)

MIT PSFC (undergrad)



DIII-D (Postdoc)

DIII-D (NUF internship)









DIII-D (GA Staff Scientist)



Abrams/PMI II/SULI Lecture

Outline

How to Evaluate Plasma-Materials Interactions

- Characterize the Edge Plasma
- Observe the Effect on the Wall Material (Spectroscopy)
- Perform "Post-Mortem" Analysis of the Material

• Promising Plasma-Facing Materials

- Tungsten
- Silicon Carbide
- Liquid Metals

• Wrap-Up and Final Thoughts

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Edge plasma conditions diagnosed via Langmuir probes and Thomson scattering

- Langmuir probes
 - Conductive probe contacts plasma
 - Collected current varies with voltage due to sheath effects
 - Ion flux, n_e , T_e can be extracted
 - Fast (~1-10 kHz), but can only measure at the edge



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Thomson Scattering

- Spectroscopic measurement of photon-electron collision
- Extract n_e,T_e from line height/width
- Slow (50-100 Hz), but measure anywhere in the plasma



Courtesy of D. Eldon

Divertor Heat Flux characterized via IR thermography

 Utilize Planck's Law to relate IR emission to temperature:



 Apply thermal model to relate temperature to heat flux*:



Planck's law Power spectrum vs. Temperature and Wavelength



Divertor Heat Flux characterized via IR thermography

 Utilize Planck's Law to relate IR emission to temperature:



HF measured by Langmuir probes generally agree reasonably well with IR $q_{\parallel} \approx \gamma T_e J_{sat} / \sin \theta$ $\gamma \approx 7$ $\theta \approx 1.5^{\circ}$ Sheath heat flux Field line angle factor

• Apply thermal model to relate temperature to heat flux*:





Barton Phys Scr 2017

Divertor plasma profiles look very different in L-mode and H-mode (ELM vs. inter-ELM)

- L-mode: Poor confinement implies broad plasma profiles
 - Scale lengths O(several cm)
 - No fast time constants -> fast time response not crucial
- H-mode: Better confinement means narrower profiles
 - Fast time constants (ELMs) implies need for fast time response
 - Typically average over end portion of ELM cycle
- What if LP and TS are not consistent?



Guterl PET 2017

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J_{sat} is more "trusted" from LPs. Actual ion flux measurement at the target



T_e is more "trusted" from TS. Local measurement, not averaged over a flux tube

Density can be "backed out" based on Jsat and T_e

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Plasma conditions in H-mode oscillate due to ELMs

Edge Localized Modes

- Periodic bursts of energetic plasma expelled from the core
- "Spikes" observed on heat flux measurements
- Big problem for reactors!
- Current understanding of ELMs is still somewhat empirical
 - "Free streaming model": detachment of a pedestal plasma filament into SOL





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Empirical model for ELM power deposition agrees reasonably well with data



Intra-ELM plasma conditions are difficult to diagnose

- Langmuir probes run into power supply current limits
 - Results in "back off" on bias
 - Do not capture peak of J_{sat}
 - Cannot fit exponential to
 I-V curve to infer T_e



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- Langmuir probes run into power supply current limits
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 - Cannot fit exponential to
 I-V curve to infer T_e
- TS measurement frequency is comparable to ELM frequency
 - No information on ELM evolution
 - Can use "coherent averaging" if a number of similar ELMs exist

DTS indicates strong n_e increase during ELMs, but similar (or decreased) T_e



Abrams APS 2016

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Measuring PMI Involves Using Spectroscopic Diagnosis of the Edge Plasma

- Eroded wall material becomes an *impurity* in the plasma
 - Impurities emit line radiation via electronic transitions



 Impurities in the plasma measured with spectroscopy

$$\Gamma_{W^0} = \frac{S}{XB} \int_0^\infty \Phi_{W^0} dz$$

$$\frac{S}{XB} \equiv \text{``lonizations per photon''}$$

$$\text{``Inverse photon efficiency''}$$

 S/XB coefficients typically obtained from databases

- e.g., ADAS: www.adas.ac.uk

What spectroscopy diagnostic you need depends on what you want to measure

Diagnostic	Spectral resolution	Spatial resolution	Time resolution
Spectrometer	Can be very high (<0.1 nm)	Requires multiple chords. O(cm) spot sizes	Limited by read-out time, integration time. typically ms-s
Filterscopes	1-10 nm wavelengt h region (Bandpass filter)	Requires multiple chords. O(cm) spot sizes	Very fast, limited by digitizers, typically kHz-MHz
Filtered camera	1-10 nm wavelengt h region (Bandpass filter)	2D image, mm-cm, depends on lens	Slow cameras (30 Hz) are cheap, Fast cameras (kHz-MHz) are expensive



Grating-based spectrometers measure intensity vs. wavelength

- Czerny-Turner design enables high spectral resolution
 - Distinguish closely neighboring lines
 - Zeeman splitting -> B_T , LOS
 - Doppler shifts/broadening -> v_i , T_i
 - Careful line identification possible





Typical Spectrum acquired with DIII-D High-Resolution Spectrometer

Filterscopes provide very high time resolution over an integrated spectral range



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Light directly coupled to photomultiplier tubes (PMTs)

- Bandpass filters only transmit a certain narrow wavelength range
- ELM-resolved information!

Caveat: Signals includes both line of interest and background

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Filterscopes provide very high time resolution over an integrated spectral range

Two-filter method developed on DIII-D to separate signal from background



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Two-filter method developed on DIII-D to separate signal from background



Filtered imaging provides spatially resolved light intensities

Camera images divertor surface through bandpass filter



- Background subtraction can be performed from a local source
 - Assume toroidal symmetry
 - Subtract ROI toroidally offset as background

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Measurements of W prompt re-deposition can be conducted via post-mortem analysis

- W/Mo re-deposition inferred from post-mortem analysis on DIII-D
 - DIII-D DiMES experiments
 - Small 1 mm spot (R~0) vs. large 1 cm spot (R~?)
 - Decent agreement with ERO code, assuming 1.8% C concentration

	Мо		W	
	EXP	ERO	EXP	ERO
Net erosion rate (nm/s)	0.42	0.43	0.18	0.14
Net/gross erosion ratio	0.56	0.61	0.29	0.33



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Three Classes of Materials are Envisioned to Have Potential as Plasma-Facing Materials for Fusion

- 1. Refractory Metals (W and W-alloys)
 - Acceptable operational temperature window, >800 °C
 - Difficult/expensive to fabricate, machine
- 2. Ultra High-Temperature Ceramics (UHTCs)
 - Graphite, SiC, other carbides, diborides, MAX phase...
 - High temp. (> 1000 °C), maintain strength under high neutron fluence
 - Fiber composites generally at lowest TRL (joining, hermeticity)

3. Liquid Metals

- Primarily Lithium, but also Sn, Sn-Li Eutectics
- "Self-healing:" separates the material problem from PMI problem
- Most difficult chemically and technologically

CFC divertor in ITER eliminated due to concerns about cost + T retention via C co-deposition

W was chosen as ITER divertor material due to low T

- "Be wall + W divertor" planned for entire ITER lifetime
 - Divertor replacement?

Sputtering yields of plasma-facing materials





 W sputters significantly less than low-Z materials like C or Be

...but one W atom in core is much worse than a C/Be atom

• W has highest melting temp of any solid material (except C)

ITER/DEMO W monoblock design tends to fail after several hundred thermal cycles



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Neu PPPL Seminar

Toughening of Tungsten Fiber Composites (W_f/W)

Toughening by mechanism analogous to ceramic-fiberreinforced ceramics



Stress redistribution by local energy dissipation acting behind cracks





[based on Evans, J Am Ceram Soc 73, Nb. 2, 1990]

W_f/W fabricated at FZ Julich^{1 1Ries}

¹Riesch Phys Scr 2014



Fracture surface of W_f/W after Charpy impact test

H. Gietl et al., Fus. Eng. Design 124 (2017) 396

Neu PPPL Seminar

W_f/W shows good performance in mechanical testing

Increasing the toughness of W:

- Production of dense W_f/W by CVD
- Development of optimized fabrics and continuous deposition

W_f/W samples confirm:

Charpy impact tests
 ->ductile behaviour of fibers



Monotonic tensile test -> no catastrophic failure

• Low cycle fatique testing

H. Gietl et al., Fus. Eng. Design 124 (2017) 396

10000 cycles at 60/70/90/100% (each) of maximum stress without failure

Dispersoids can help to stabilize W microstructural features, improve resilience to neutron damage

- Incorporation of dispersoids can improve resistance of W to n-damage, recrystallization at high temperatures.
- Ongoing material development at Penn State, University of Utah, Tohuku University [1-3].



Above: SEM/FIB images showing microstructure of dispersoid strengthened W specimens [2]

- Most testing to date with "single effect" laboratory experiments
- Planned expts. will use DIII-D/DiMES to expose specimens to combined high heat and particle flux

 H. Kurishita, S. Matsuo, H. Arakawa, et al., Phys. Scr. **T159** (2014) 014032.
 - 2 R. D. Kolasinski, D. A. Buchenauer, R. P. Doerner, et al., Ing. J. Ref. Met. Hard Mat. 60 (2016) 28.
 - 3 E. Lang, H. Schamis, N. Madden, et al, J. Nucl. Mater. 545 (2021) 152613.

Dispersoid strengthened W properties and experimental results:

 Near-surface blistering during H exposure nearly eliminated up to ~10²⁵ D/m²



Dispersoid strengthened W properties and experimental results:

- Near-surface blistering during H exposure nearly eliminated up to ~10²⁵ D/m²
- Improved resistance to grain growth during high-temperature annealing up to 1800 °C



grain growth in ITER W



defect annealing in ITER W

[1] A. Manhard, K. Schmid, et al., J. Nucl. Mater. 415 (2011) \$632.



Dispersoid strengthened W properties and experimental results:

- Near-surface blistering during H exposure nearly eliminated up to ~10²⁵ D/m²
- Improved resistance to grain growth during high-temperature annealing up to 1800 °C



Above: Comparison of grain growth in IGW vs. different dispersoid strengthened W materials

E. Lang, H. Schamis, N. Madden, et al, J. Nucl. Mater. **545** (2021) 152613.

Observations:

- Near-surface blistering during H exposure nearly eliminated up to ~10²⁵ D/m²
- Improved resistance to grain growth during high-temperature annealing up to 1800 °C
- Moderately higher (2-3 x) hydrogen isotope retention / permeation
 - However most trapped inventory can be liberated from the surface at low temperature (< 300 °C) [Barton et al., Nucl. Mater. Energy (2019)]



Above: Hydrogen permeability through different W materials, c.f. D. A. Buchenauer et al., Fusion Eng. Design (2016).

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SiC Poses a Number of Potential Advantages as a Plasma-Facing Component (PFC) for Next-Step Devices

• Graphite and Tungsten are the most studied, but are not ideal...

PFC Property (not comprehensive)	Graphite	Tungsten	Silicon Carbide
Chemical Erosion*			?
Physical Erosion*	<u></u>		?
Melting/ Leading Edges	<u></u>		
Impurity Radiation		<u></u>	<u></u>

*Ultimate limit on T retention (via co-deposition)¹

¹Doerner NME 2019 ²Katoh JNM 2014

- Graphite largely not considered reactor-relevant due to hydrogen retention and low neutron tolerance
- Silicon Carbide could reduce C erosion and co-deposition without the drawbacks of high-Z materials
 - Next generation SiC/SiC composites² has stimulated renewed interest
 - Physical and chemical erosion properties need to be better understood -> ongoing research

SiC coatings fabricated at General Atomics via chemical vapor deposition (CVD) were used for plasma bombardment testing

- SiC samples were fabricated by General Atomics
- Chemical vapor deposition on ATJ graphite substrate
 - crystalline β -SiC coating
 - layer thickness = $100-200 \,\mu m$
- Pristine composition: 50 \pm 5 % Si/50 \pm 5 % C
- Sample geometries: Buttons, DiMES caps, DIII-D tiles



SiC-coated samples







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D retention via implantation in SiC measured in PISCES, found to be relatively similar to tungsten

- W and SiC samples exposed to same conditions in PISCES-E linear plasma device
- Total retention in SiC ~2x higher than W





- SiC samples exhibited one major desorption peak ~ 900 K
 - Somewhat higher than W (~600 K)
 - More similar to peaks in pure Si & graphite

Heat-treated SiC samples exhibited slightly lower overall D retention due to annealing of intrinsic defects

- DIII-D divertor (DiMES) exposure conditions
 - L-mode, Fluence ~ $2.5 \times 10^{24} \text{ m}^{-2}$
 - Average D⁺ energy ~ 100 eV
- D retention quantified via Laser-Induced Breakdown Spectroscopy/Laser Ablation Mass Spectrometry (LIBS/LAMS)
 - Erosion/pulse (LAMS) ~ 0.3 μ m
- Higher heat treatment temperature lowers overall D retention
 - adding heat anneals intrinsic defects that can trap D



SiC samples exhibited 4× decrease in carbon chemical erosion compared to graphite samples; chemical erosion of Si not observed

- CD emission band (430 nm) serves as proxy for chemical erosion of carbon
 - D/XB method used to approximate Y_c^{chem} from CD band
- Y_c^{chem} from SiC is $4 \times$ lower than that from graphite on average
 - estimated Y_c^{chem} values from graphite are consistent with Roth formulation [1]
- Si II, C II peaks not observed
 - T_e in PISCES too low for significant ionization



Spectroscopically Inferred Si Erosion from SiC is Significantly Lower than for Pure Silicon in DIII-D



- Atomic erosion flux inferred via ionizations/photon (S/XB) method¹
 - Emission intensity ~ erosion rate
 - Normalized to ion flux to measure Y_{Si}
- Data closer to pure Si due to enrichment
- Model slightly under-estimates Si erosion, likely due to ELMs (not included in model)



¹ADAS, www.adas.ac.uk

Spectroscopically Inferred C Erosion from SiC is Significantly Lower than for Pure Graphite in DIII-D



- Atomic erosion flux inferred via ionizations/photon (S/XB) method¹
 - Emission intensity ~ erosion rate
 - Normalized to ion flux to measure Y_C
- Measurements of Y_C are close but slightly above model calculations
 - Likely due to ELMs (not included in model)

¹ADAS, www.adas.ac.uk



Promising advanced SiC composite prototypes were also tested

W-SiC functionally-graded composite

- good compatibility between
 W & SiC
- Goal: 100% W at surface →
 100% SiC at coating interface
- fabricated via physical vapor deposition
- layer thickness ~ 10 μm

SiC_f-SiC composite

- improved toughness over monolithic SiC [1]
- fabricated via chemical vapor infiltration over a fiberreinforced matrix

EDS line scan of W-SiC cross-section



Property		W	SiC
CTE (@ 300 K)	[10 ⁻⁶ K ⁻¹]	4.3	3.8
Structural operating temperatures	[K]	800-1300	550-1050

[1] Katoh, JNM, 2014

Significant increases in D retention in W-SiC compared to pristine tungsten likely caused by manufacturing-induced defects

- Exposure of W-SiC samples in PISCES resulted in minimal surface degradation
- Surface concentration remained
 > 70% W up to 10²⁵ m⁻² fluence
- Total D retention in W-SiC was
 - ~ 10 \times higher than in W or SiC
 - most D trapped at W-specific intrinsic defects
 - improvements in fabrication may reduce intrinsic trap density

Sample Total D retained (m⁻²) W-SiC 2.0 × 10²¹ SiC 4.4 × 10²⁰ W 1.6 × 10²⁰

SEM micrographs of surface (before vs. after)



Desorption: W-SiC vs. W vs. SiC



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Solid metals suffer from the "reshaping problem" while liquid metals are "self healing"



Neutron damage is problematic in solid materials

• Damage characterized by dpa = "displacements per atom"

- ITER: ~0.7 dpa lifetime
- Reactor: ~100 dpa lifetime
- Problems cause by neutrons:
 - Embrittlement
 - Increased T retention
 - Degrades thermal conductivity (lowers acceptable heat flux)
 - Activation



- Liquid metals have no "structure" -> no neutron damage
 - Note: the underlying solid material will still be damaged
 - LMs **separate** the heat flux problem from neutron damage effects!

Liquid metals provide potential additional channels for heat dissipation

- Flowing liquid dissipates heat via convection
- "Vapor shielding"
 - LM evaporation produces neutral vapor cloud
 - Plasma-neutral interactions lead to heat dissipation
 - Recently demonstrated experimentally for liquid Sn¹





¹van Eden PRL 2016

Issue 1: The liquid metal needs to stay on the wall (1/2)

- Liquid metal surfaces can become MHD unstable (R-T or K-H)
 - Leads to droplet ejection -> video
 - Macroscopic ejection events can dominate erosion
 - Seen on DIII-D, HT-7, EAST, Magnum-PSI
- LM surface can be stabilized using porous targets
 - Essentially a LM "sponge"
 - Capillary forces counter-act jxB ejection forces



Issue 1: The liquid metal needs to stay on the wall (2/2)

 Very strong LM evaporation could lead to unacceptable core contamination levels



- Could widen the temp. window for liquid Li divertor

Issue 2: Tritium Extraction

- In the D-T fuel cycle, high tritium burn fraction required to maintain TBR > 1
- In ITER, safety concerns limit T inventory to 700 g
- Liquid Li retains H/D/T isotopes in up to a 1:1 ratio
 - Some filtration systems must be developed
 - "Just an engineering issue"
- Other liquid metals (Sn, Ga, etc.) only retain 1-2% hydrogenics
 - Comparable to solid metals
 (W, Mo, etc.)

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Capillary-porous system (CPS) targets prevent droplet ejection

• Liquid Li CPS system installed on Frascati Tokamak Upgrade (FTU) in Frascati, Italy



- No droplet ejection or confinement degradation observed
- Demonstrates technological feasibility for liquid Li PFCs
 Abrams/PMII/SULLecture

NSTX Evaporated Li experiments and Liquid Lithium Divertor (LLD)

Abrams/PMLII/SULLLecture

- Improved plasma performance with Li evaporative wall conditioning on graphite
- LLD experiment attempted in 2012 for full liquid Li divertor...



Stored Energy in NSTX With Lithium +20%Without Lithium 001 (kJ) 50 2009 Deuterium \mathbf{H} $B_{T} = 0.45T$ Average = 0.9 MA+std. dev $NBI = 4.0 \pm 0.2 MW$ 200 100 300 W_{MHD}^{<EFIT>} (kJ)

- ...had some problems
 - 3/4 modules failed due to shorted heater leads
 - Liquid Li could not be maintained w/o plamsa heating
- Plus side: No droplet, macroscopic Li ejection

Jaworski NF

2013

Flowing liquid Li concepts tested in HT-7

SLiDE concept (U. Illinois)

- Thermal gradients drive currents at the junction between two materials (thermoelectric effect)
- Liquid Li flows in ~1 mm wide trenches
- Previously demonstrated in benchtop experiments -> video
- No droplet ejection observed on HT-7



Strip heater





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- PMI is probably the 2nd most critical physics issue to be solved for fusion reactors (after disruptions)
- Tungsten remains the leading candidate PFC material but a suitable W alloy for reactors has yet to be developed
 - We need a back-up plan: SiC or liquid metals
- The U.S. Community must develop a cohesive road map to address outstanding PMI physics gaps for a fusion pilot plot!