

Basic Fundamentals and Select Applications

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2018 SULI Introductory Course in Plasma Physics

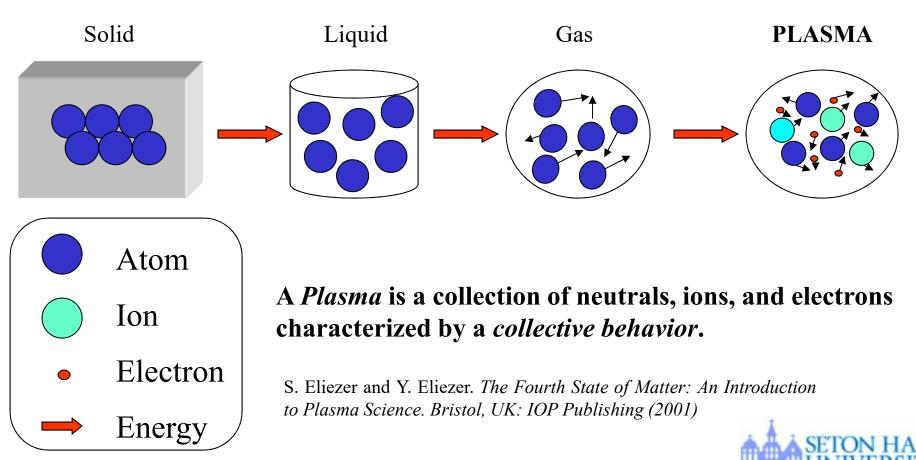


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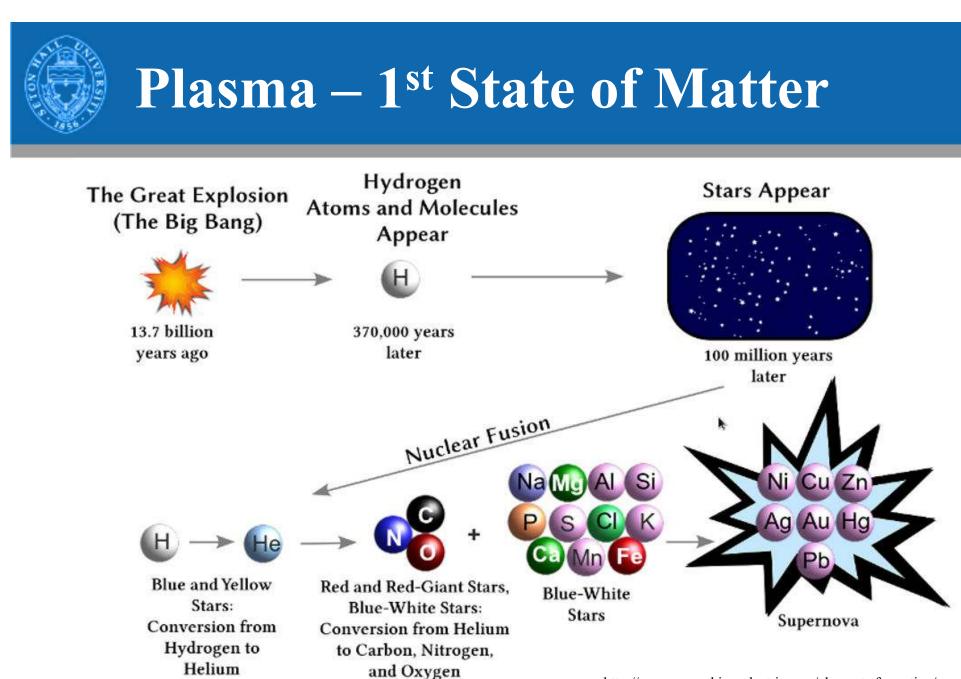


What is a Plasma?

The Plasma state is 'The Fourth State of Matter' (99%)



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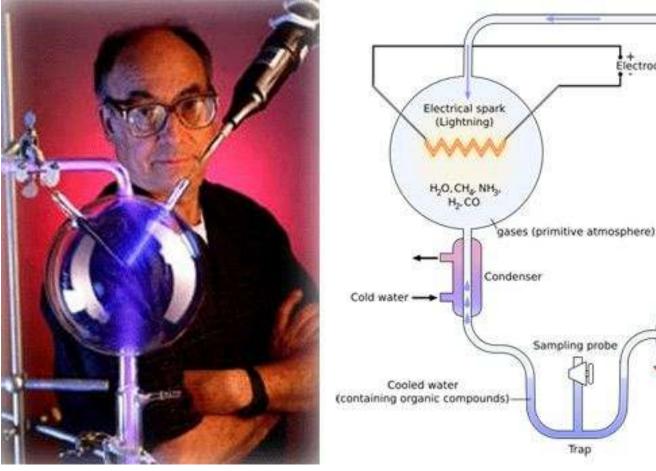


http://www.everythingselectric.com/elements-formation/

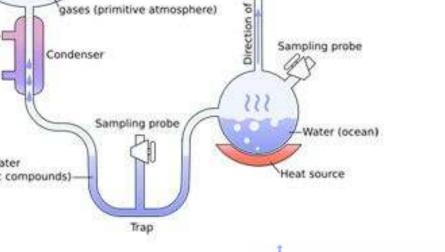
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Plasma – Spark of Life?



Urey-Miller Experiment – Origin of Life



Electrodes

circulatio

vapor

water

to vacuum pump

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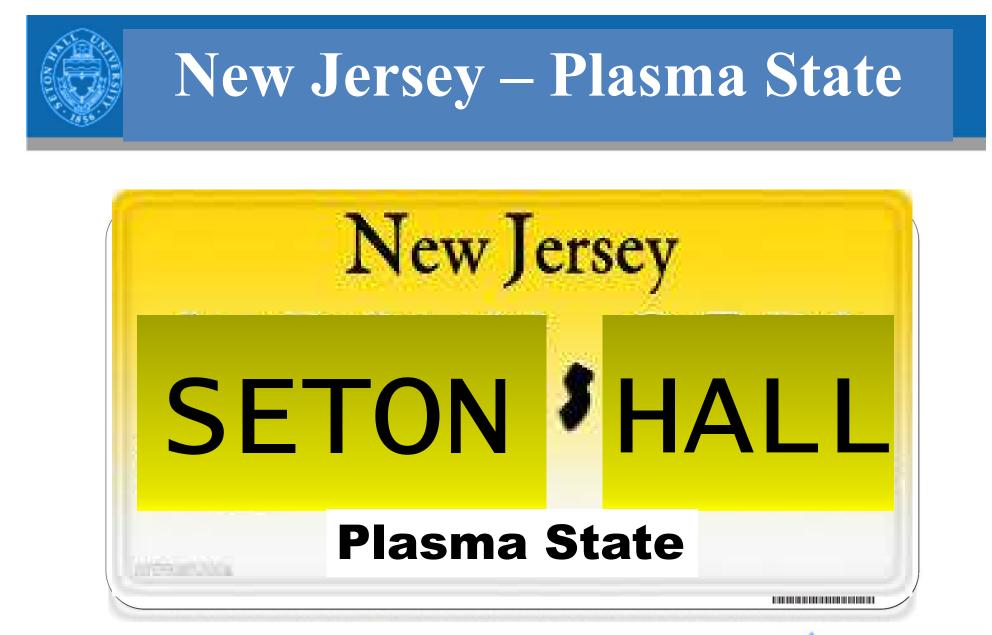


The Plasma State – New Jersey





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New Jersey – The birth place of Plasma Science



Birth of Plasma Science

Birthplace: Hoboken, New Jersey

Irving Langmuir was one of the first scientists to work on plasmas and the first to refer to this 4th state of matter as *plasmas*, because their similarity to blood plasma

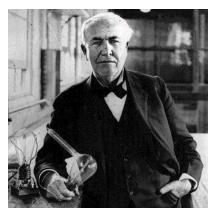
Irving Langmuir



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Plasma Lighting Technology



Thomas Edison



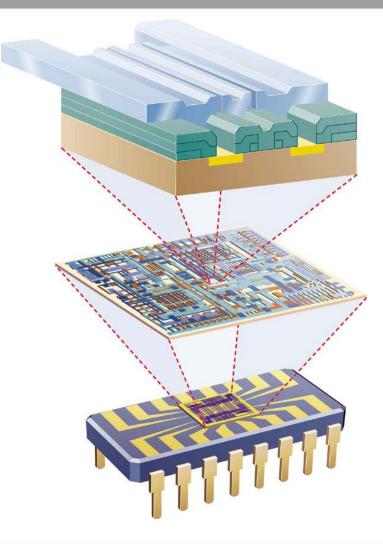
Birthplace of the Fluorescent Light Bulb: Edison (Menlo Park) / West Orange, NJ

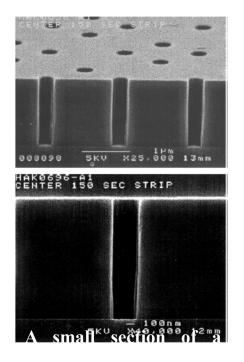


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Plasma Enhanced Technology





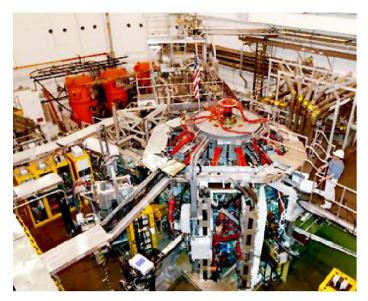
Birthplace of solid-state microelectronics: Bell Laboratories, Murray Hill, NJ



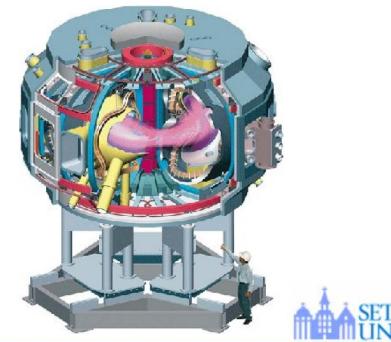
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The U.S. Department of Energy's Princeton Plasma Physics Laboratory (PPPL) is a collaborative national center for plasma and fusion science. Its primary mission is to develop the scientific understanding and the key innovations which will lead to an attractive fusion energy source. Associated missions include conducting world-class research along the broad frontier of plasma science and technology, and providing the highest quality of scientific education.



National Spherical Torus Experiment (NSTX)

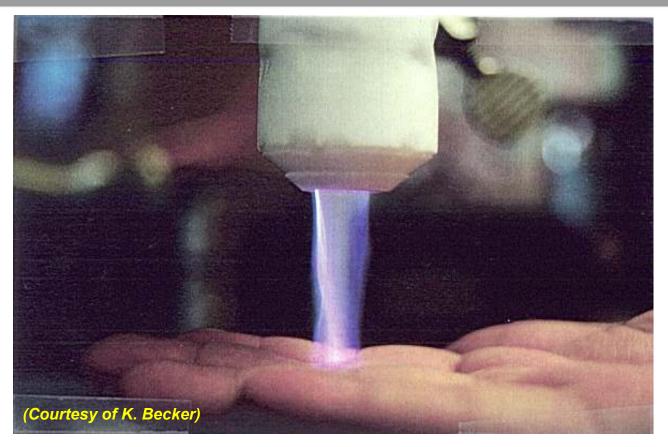


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Atmospheric Cold Plasmas Erich Kunhardt & Kurt Becker





An Atmospheric Pressure Plasma Generated with a Capillary-Plasma-Electrode Discharge



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Plasmas in Nature



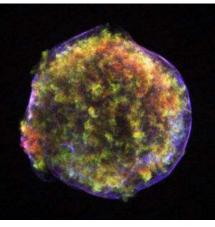
The Sun



The Comet



Aurora



Supernova



Lightning



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Sun

Aurora Borealis (Northern Lights)



Lightning



Fluorescent Lamps



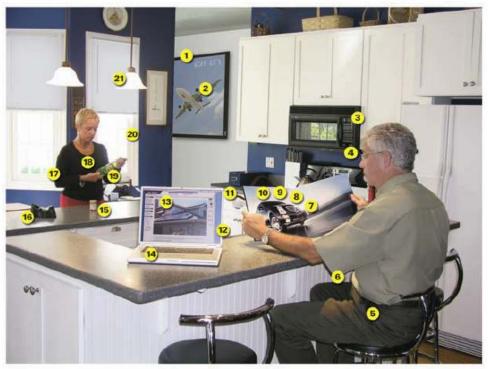
Plasma Display Televisions



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Plasma enabled technology



01-Plasma TV

- 02-Plasma-coated jet turbine blades
- 03-Plasma-manufactured LEDs in panel
- 04—Diamondlike plasma CVD eyeglass coating
- 05-Plasma ion-implanted artificial hip
- 06-Plasma laser-cut cloth
- 07—Plasma HID headlamps
- 08—Plasma-produced H, in fuel cell

- 16—Plasma-treated polymers
 - 17-Plasma-treated textiles
 - 18-Plasma-treated heart stent
 - 19—Plasma-deposited diffusion barriers for containers
 - 20-Plasma-sputtered window glazing
- 21-Compact fluorescent plasma lamp

Plasmas in the kitchen. Plasmas and the technologies they enable are pervasive in our everyday life. Each one of us touches or is touched by plasma-enabled technologies every day.

Plasma Science: Advancing Knowledge in the National Interest. Plasma 2010 Committee, Plasma Science Committee, National Research Council. ISBN: 0-309-10944-2, 280 pages, (2007)



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09-Plasma-aided combustion

11-Plasma ozone water purification

14-Plasma-processed microelectronics

12-Plasma-deposited LCD screen

13-Plasma-deposited silicon for

15-Plasma-sterilization in pharmaceutical production

10-Plasma muffler

solar cells



Plasmas 101

Solid, Liquid, Gas and ...**Plasma** -The 4th State of Matter

SOLID

- Molecules fixed in lattice
- Electrons bound to molecules or lattice

LIQUID

- Molecule bonds are flexible
- Electrons close to molecules

GAS

- Molecules free to move
- Few electrons and ions that are free to move
- Some excited molecules are present

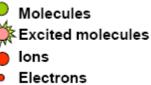


ENERGY



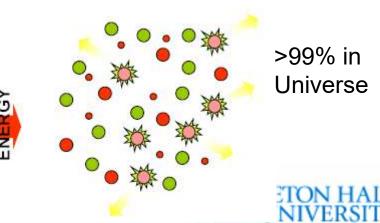
ENERGY



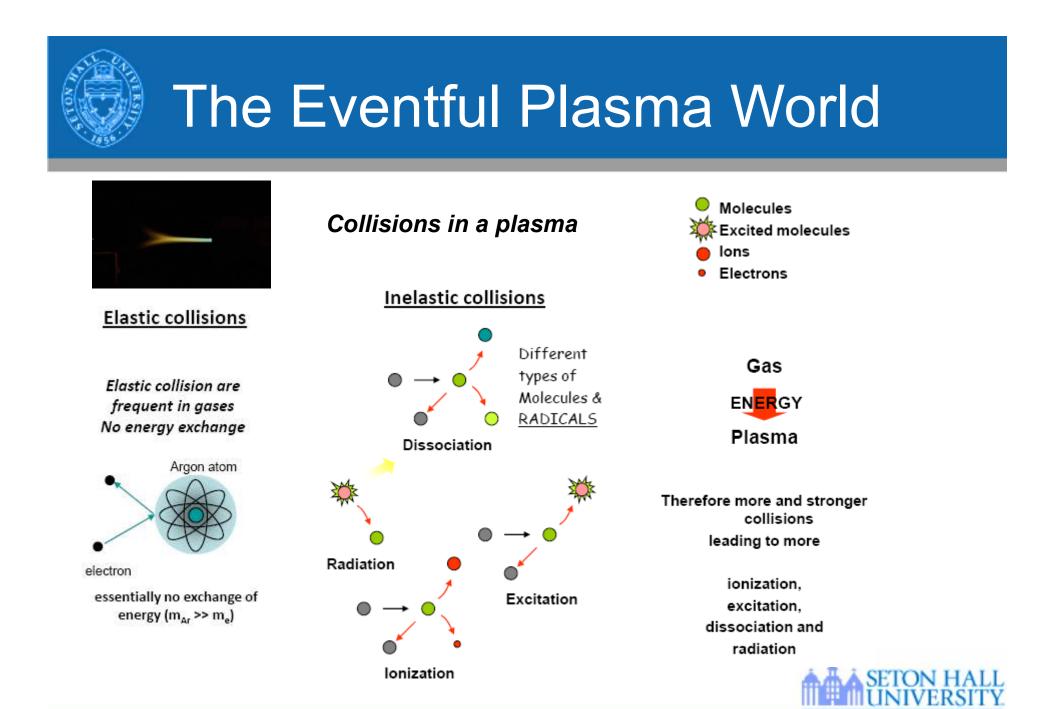


PLASMA

- Molecules free to move
- Many electrons, ions and excited molecules, all free to move
- often accompanied by light



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Two Types of plasmas

High-temperature plasmas or Hot (Thermal) plasmas $T_i \approx T_e \ge 10^7 \text{ K}$ e.g., fusion plasmas $T_i \approx T_e \approx T_g \le 2 \times 10^4 \text{ K}$ e.g. arc plasma at normal pressure

Low-temperature plasmas or Cold (Non-thermal Plasmas

 $T_i \approx T_g \approx 300 \text{ K}$ $T_i << T_e \le 10^5 \text{ K}$ e.g. low-pressure glow discharge high-pressure cold plasma



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Hot vs. Cold Plasmas

Thermal vs. Non-Thermal Plasmas

The plasma components (electrons, ions, neutrals) are characterized by energy distribution functions or alternatively by an "average" energy or temperature (T_e , T_i , T_n) – not quite correct, only true for Maxwell-Boltzmann distributions !!!

Electrons in general have more complicated energy distributions !!!

<u>Thermal Plasma:</u> $T_e \approx T_i \approx T_n$ (a few thousand Kelvin for e.g. torches to >10⁶ Kelvin for e.g. fusion plasmas)

<u>Non-Thermal Plasma:</u> $T_e >> T_i, T_n$ with $T_i \approx T_n$

- high electron temperature (10,000 50,000 K)
- low gas temperatures (300 1,500 K)
- "high-temperature chemistry" at low ambient temperatures (through dissociation and ionization & vibrational non-equilibrium)



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Low-Temperature ("Cold") Plasmas [Non-equilibrium, Non-Thermal]

$T_e >> T_i, T_n \text{ with } T_i \approx T_n$

- High "electron temperature" (10,000 100,000 K)
 - * T_e from 0.5 eV to 10 eV
 - * Often highly non-Maxwellian EEDF; "bulk" and "beam" electrons
- Low gas temperature (350 2,500 K)
- "High-temperature chemistry" at low ambient temperatures
 - Electron-driven ionization and dissociation (in molecular plasmas) create reactive radicals
 - Electron interactions (in molecular plasmas) create a vibrational non-equilibrium



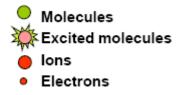


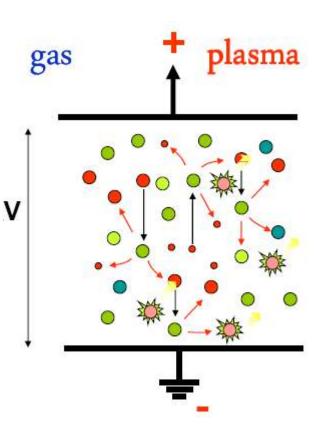
How do we make plasmas?

Supply Energy!!! e.g. Heat transfer, radiation, electric power...

For many plasma applications, an Electric Field is applied to a gaseous environment

Plasma or Gaseous Discharge



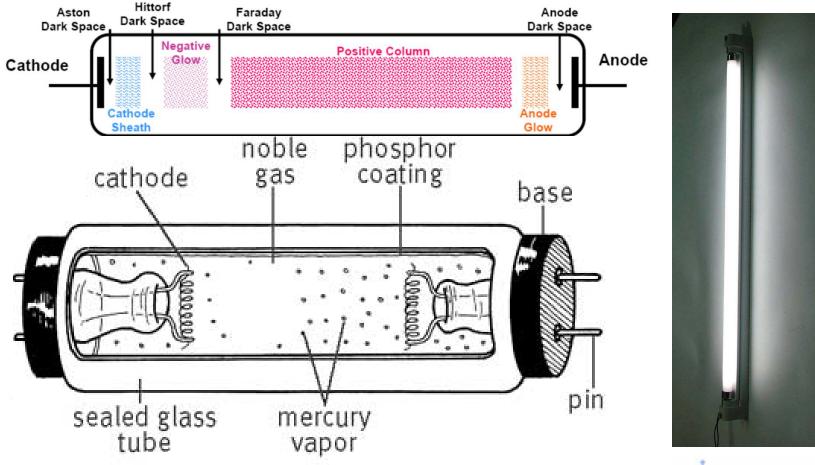




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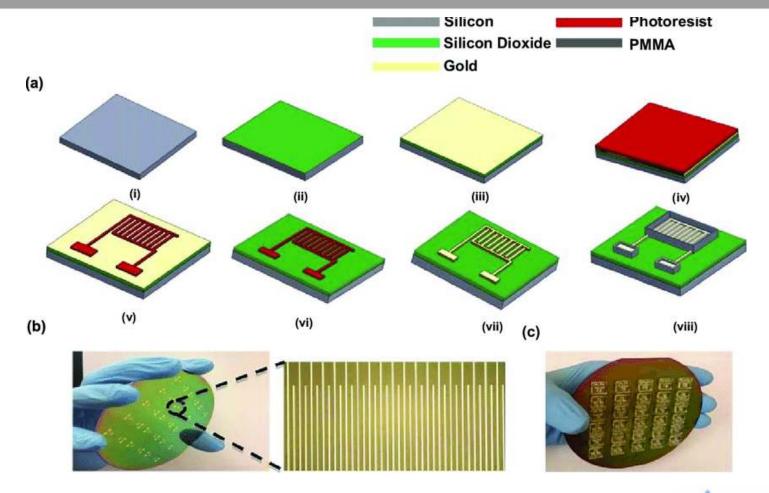
Low-Pressure Glow Discharge Plasmas





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Low- Temperature Plasma enabled Microchip Fabrication

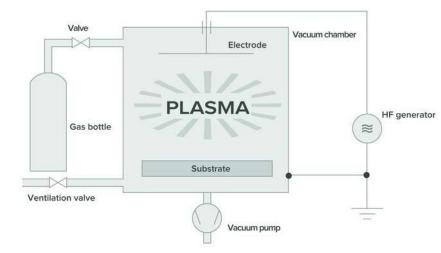




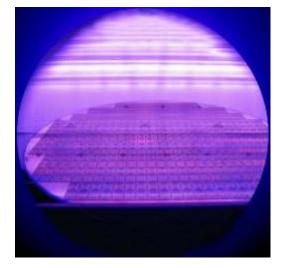
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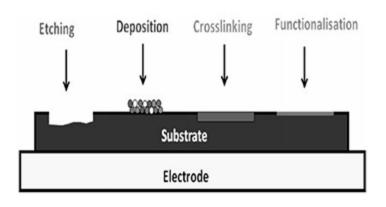


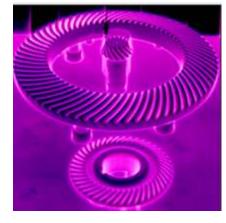
Low-Pressure, Low-Temperature Plasma Processing



Plasma processing of silicon for semiconductor manufacturing.







Plasma processing to harden or coat materials.

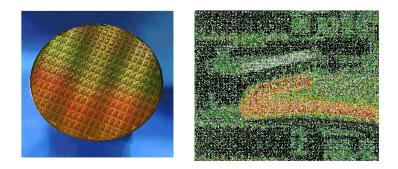


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Plasmas are easier to be generated at low pressures

Low pressure plasmas (1 mTorr ~ a few Torr)

- ➤ are well understood
- are used extensively nowadays (e.g. in semiconductor industry for computer chips manufacturing)



However, to generate low pressure plasmas:

- vacuum chambers
- expensive vacuum pumps
- pressure monitoring and pressure control devices

Generate Plasmas at Atmospheric Pressure!!





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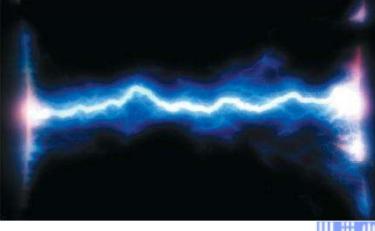
What happens at air pressure?

- No vacuum is involved
- Difficult to generate and sustain
- Run into some challenges such as glow to *arc* transition Non controllable

Arc Discharge: thermal plasma

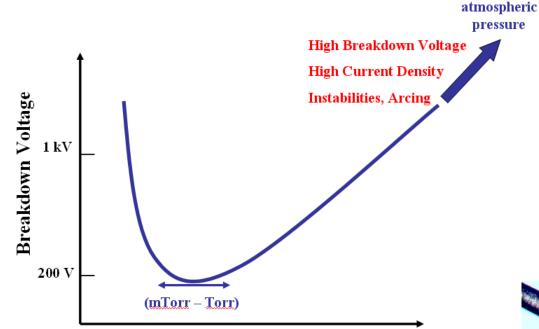
- -It's hot and detrimental
- -Gas temperature can reach as high as $2x10^4$ K
- Low voltage drop at cathode
- High cathode current density







High Pressure Microplasmas



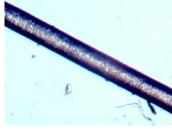
Pressure x Electrode Separation (or pressure for a fixed electrode separation)

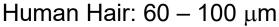
Paschen Breakdown Curve

Stabilization of high-pressure plasmas: "pd scaling": "p" ↑, so "d" ↓ to keep breakdown voltage low and minimize instabilities after breakdown -

Microplasmas

Dimension: a few millimeter down to and below 100 μ m







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How do we solve this problem?

Transient (pulsed) plasmas: *In atmospheric* plasmas, *for efficient gas heating at least 100-1000 colli*sions are necessary. Thus, if the plasma duration is shorter than $10^{-6} - 10^{-5}$ s, *gas* heating is limited. Of course, for practical purposes such plasma has to be operated in a repetitive mode, e.g., in trains of microsecond pulses with millisecond intervals.

Micro-confinement: Gas heating occurs in the plasma volume, and the energy is carried away by thermal diffusion/convection to the outside. If the plasma has a small volume and a relatively large surface, gas heating is limited.

Dielectric Barrier Discharges: These plasmas are typically created between metal plates, which are covered by a thin layer of dielectric or highly resistive material. The dielectric layer plays an important role in suppressing the current: the cathode/anode layer is charged by incoming positive ions/electrons, which reduces the electric field and hinders charge transport towards the electrode. DBD also has a large surface-to-volume ratio, which promotes diffusion losses and maintains a low gas temperature.



Advantages of Microplasmas

- Low-cost of implementation
- System flexibility
- Atmospheric pressure operation
- High densities and high reaction rates
- Fast and efficient processes
- Easy to generate and sustain for a variety of gas mixtures
- Glow-like and diffuse
- Non-equilibrium $(T_e > T_g)$ to thermal
- Unique chemistry

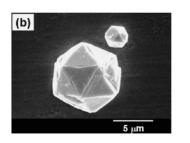
... a new realm of plasma science





What can we do with it?

Material Synthesis



Plasma display



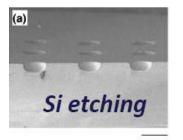
Surface Treatment



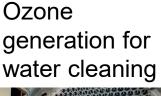
Lighting



Material processing



200 µm





and Many more...



Bio-application

Dental application

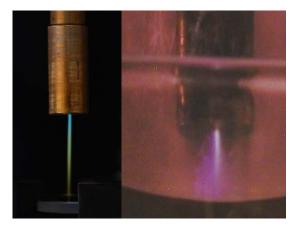


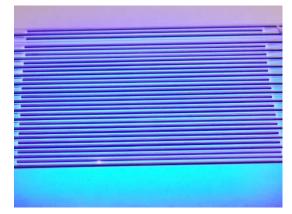


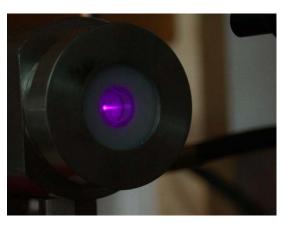
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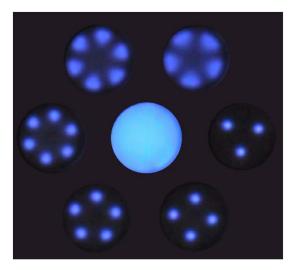


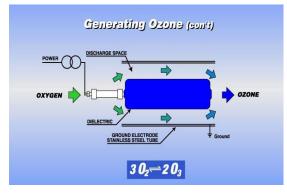
Some examples...









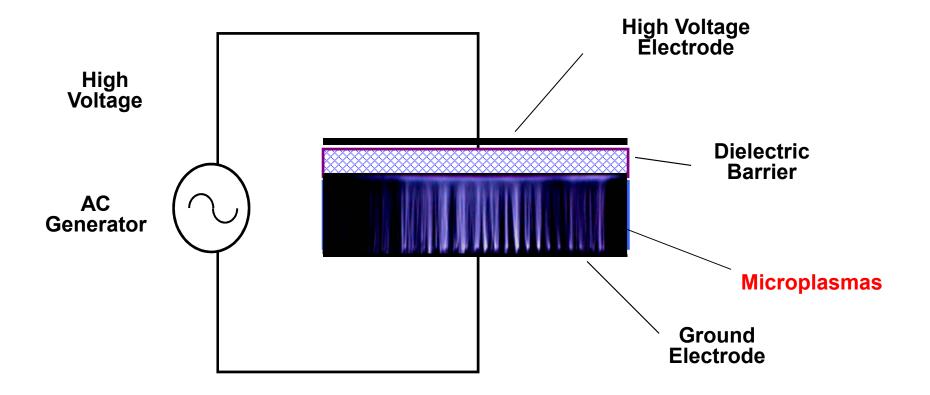






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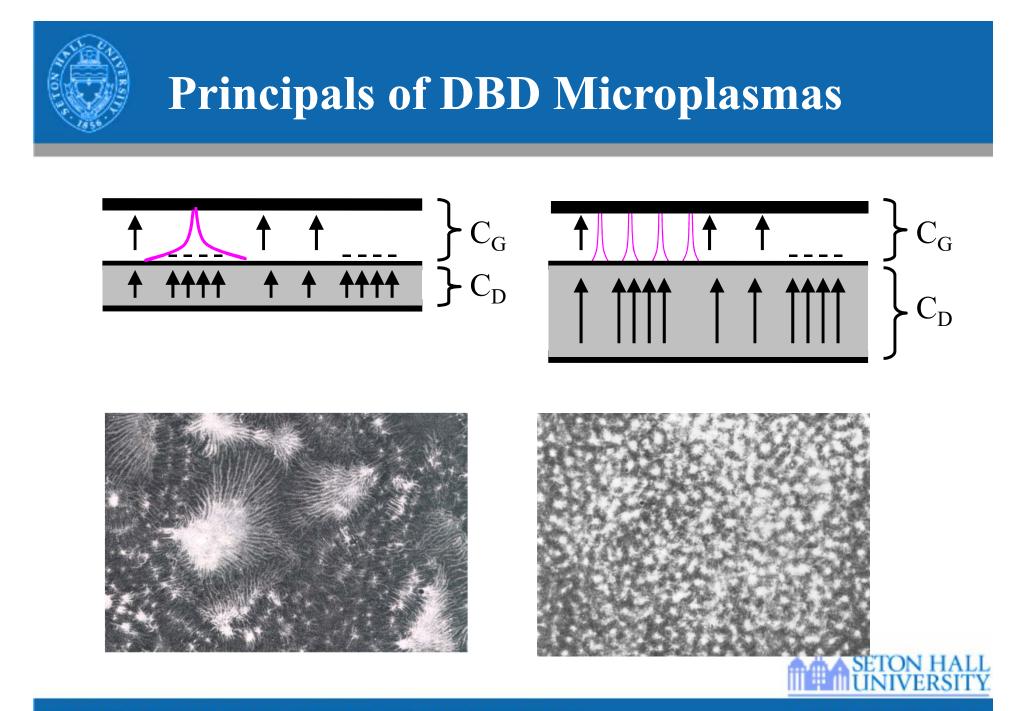
The dielectric is the key for the proper functioning of the discharge.

Serves two functions:

1. Limits the amount of charge transported by a single microplasma

2. Distributes the microplasmas over the entire electrode surface area



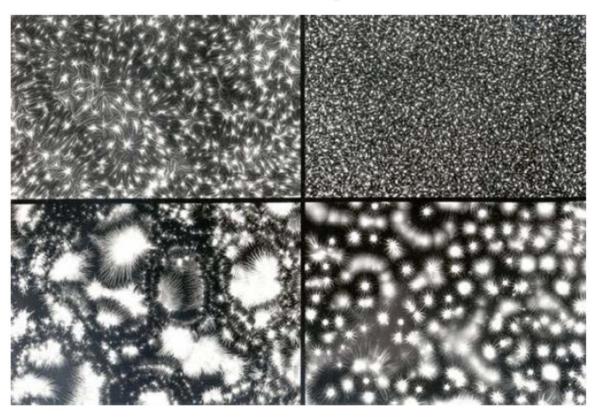


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Principals of DBD Microplasmas

Four Different Gap Widths



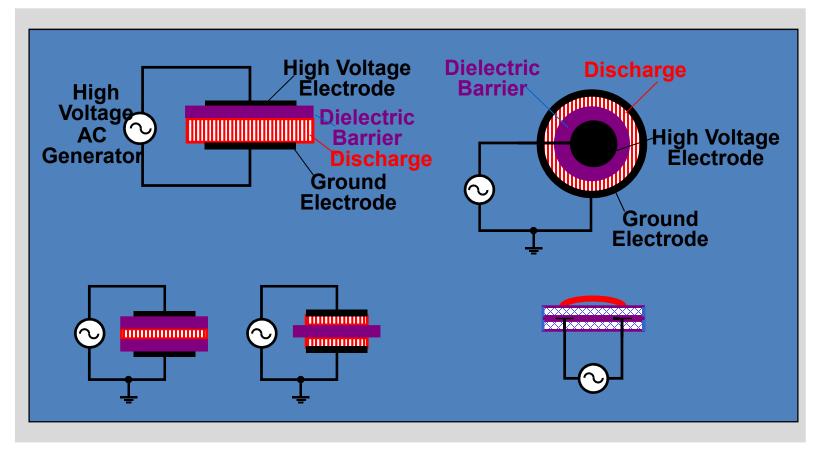
B. Eliasson and U. Kogelschatz. IEEE Trans Plasma Sci. 19(2) p309 (1991)



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Dielectric Barrier Discharge



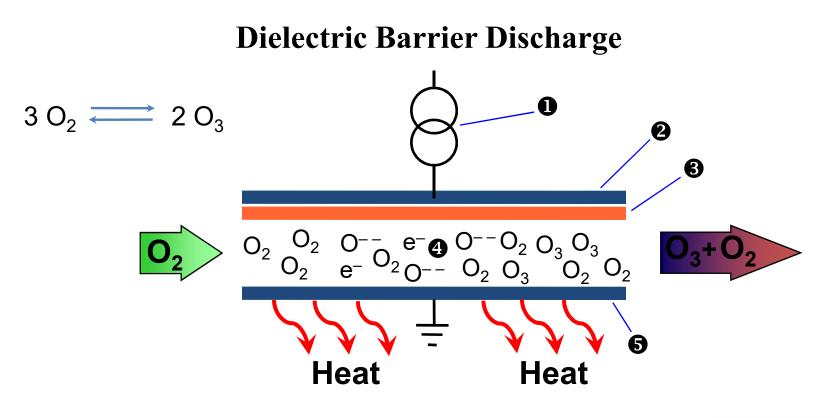
H.E. Wagner, R. Brandenburg, et. al. 'The barrier discharge: basic properties and applications to surface treatment'. *Vacuum.* 71 p417-436 (2003).



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Ozone Generator



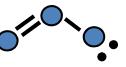


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Properties of Ozone (O_3)

• Tri-atomic form of oxygen.

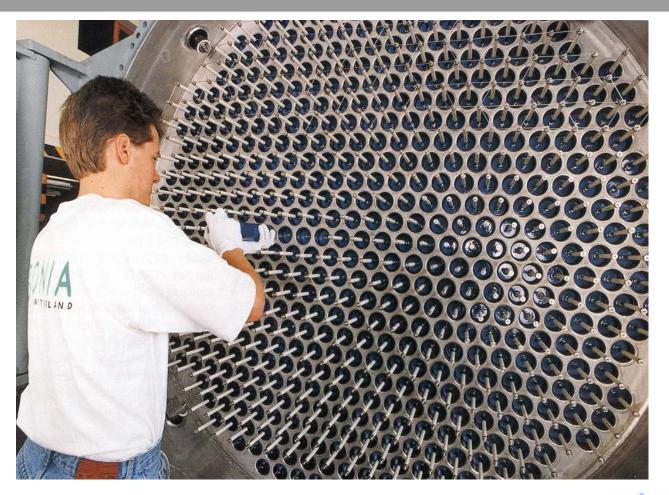


- Most powerful commercial oxidizing agent
- Unstable must be generated and used onsite
- Limited solubility in water, but more so than oxygen
- Leaves a dissolved residual which ultimately converts back to oxygen





Ozonia Advanced Technology Ozone Generator

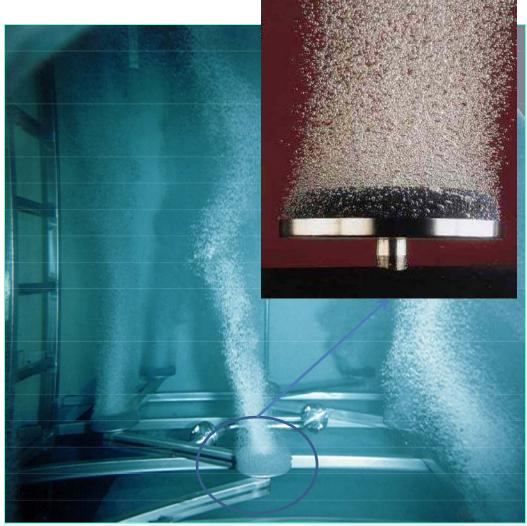




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Ozone Water Treatment



Bubble Diffusion

Easy to use

Low energy usage

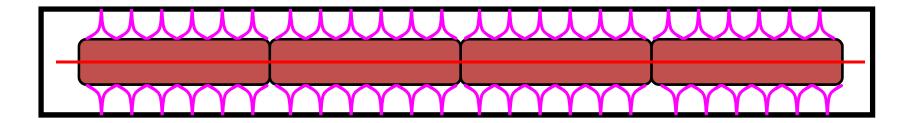
Mass transfer efficiencies to > 90%

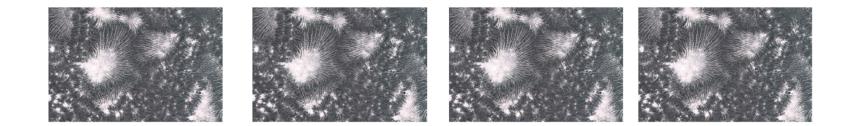


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Reference (Traditional) Arrangement

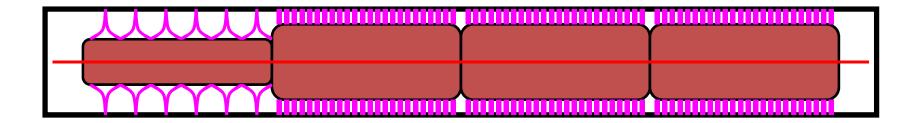


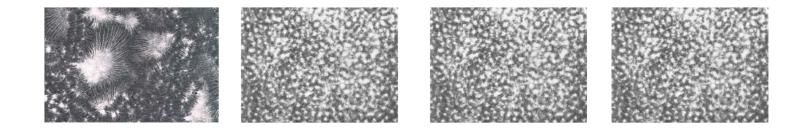




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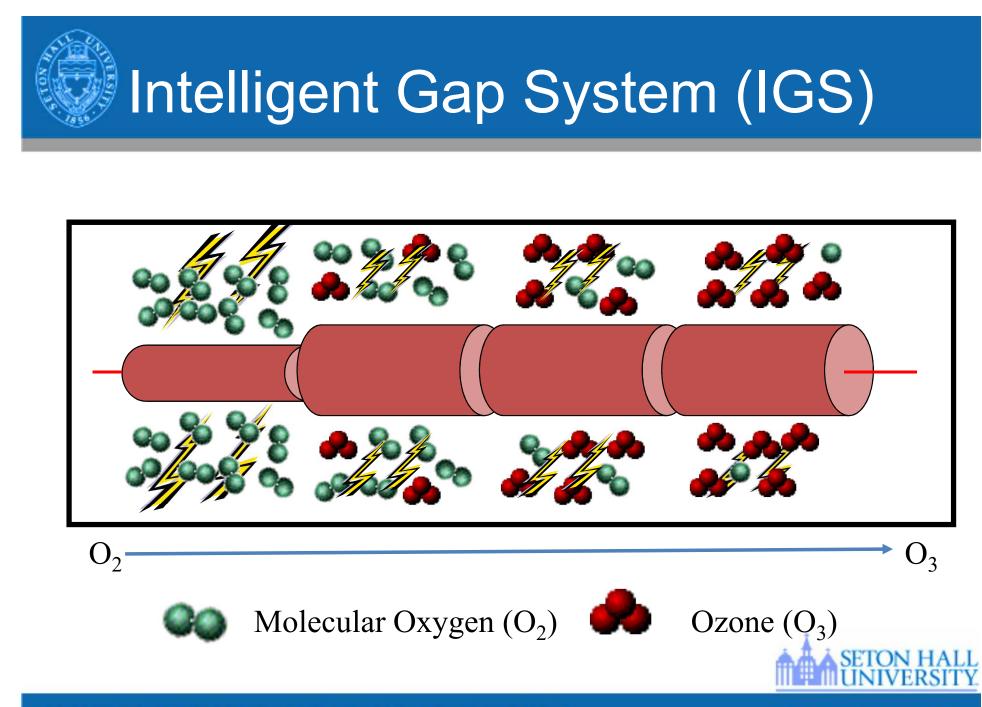








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Degrémont Technologies – Ozonia Intelligent Gap System



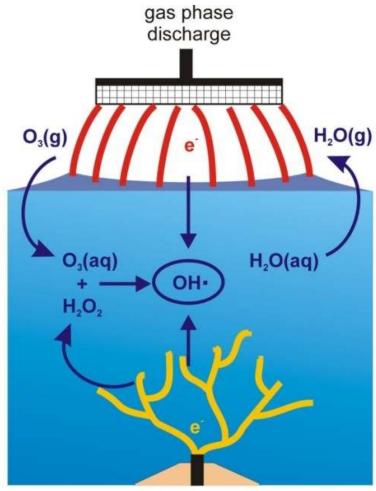




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Plasma Discharges in Water



liquid phase discharge



Pulsed Corona in Water



Spark Discharge in Water



Spark Discharge in Water



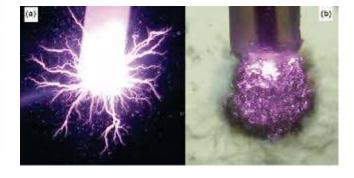
Gliding Arc Discharge with Water Spray



Plasma Arrays in Water



Pinhole Discharge in Water

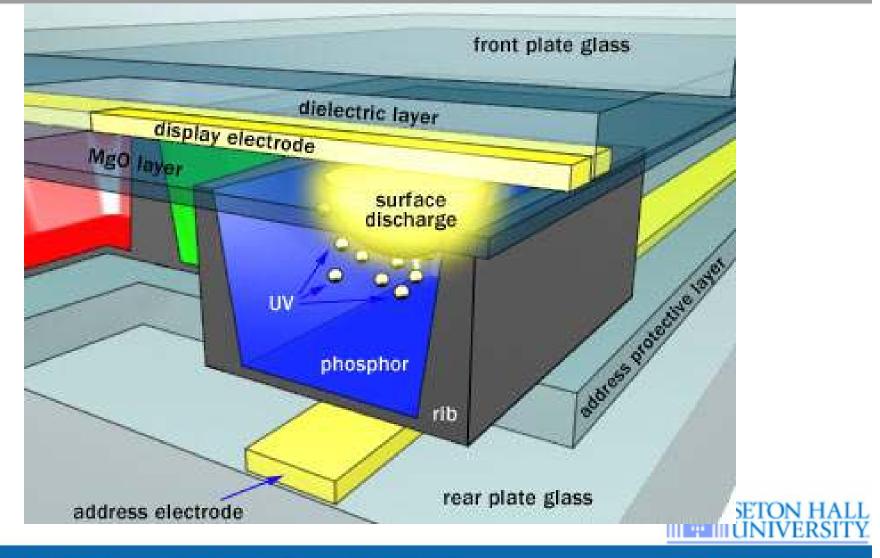




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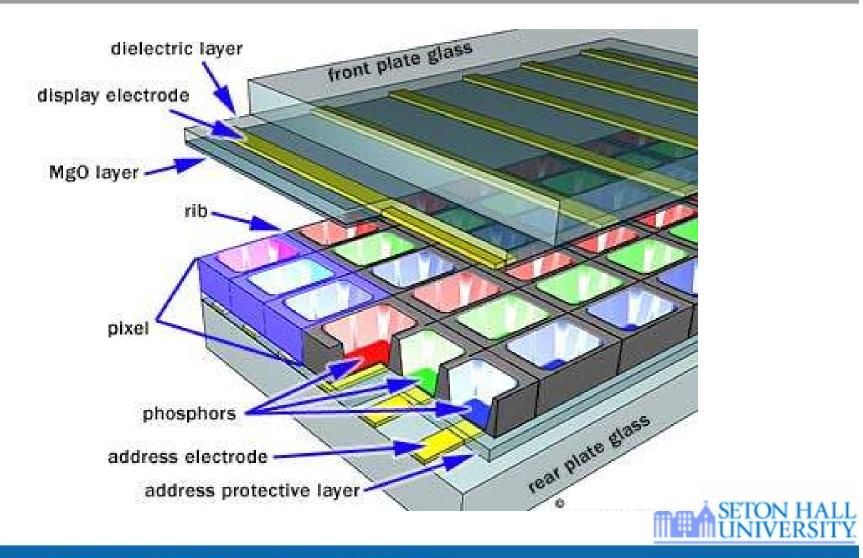
How A Plasma Display Works!



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How A Plasma Display Work!



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Plasma Display Televisions





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Applications of High-Pressure Microplasmas: Light Sources, Photonics, Sensors

Excimer and other non-coherent VUV/UV light sources

- efficiency
- intensity
- wavelength selectivity and control; monochromaticity
- lifetime and stability
- arrays

Photonic devices

- semiconductor devices
 - photodetectors
 - flexible devices and arrays
 - devices approaching cellular dimensions
 - nano-devices

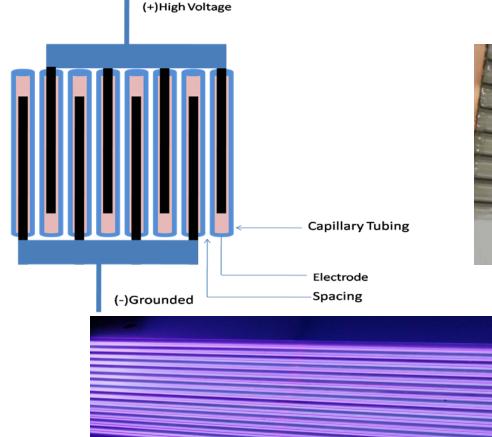
Sensors

- sensor for chemical and biological agents
- sensor for explosives





<u>Capi</u>llary <u>D</u>ielectric <u>B</u>arrier <u>D</u>ischarge



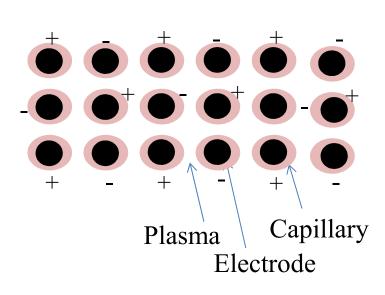




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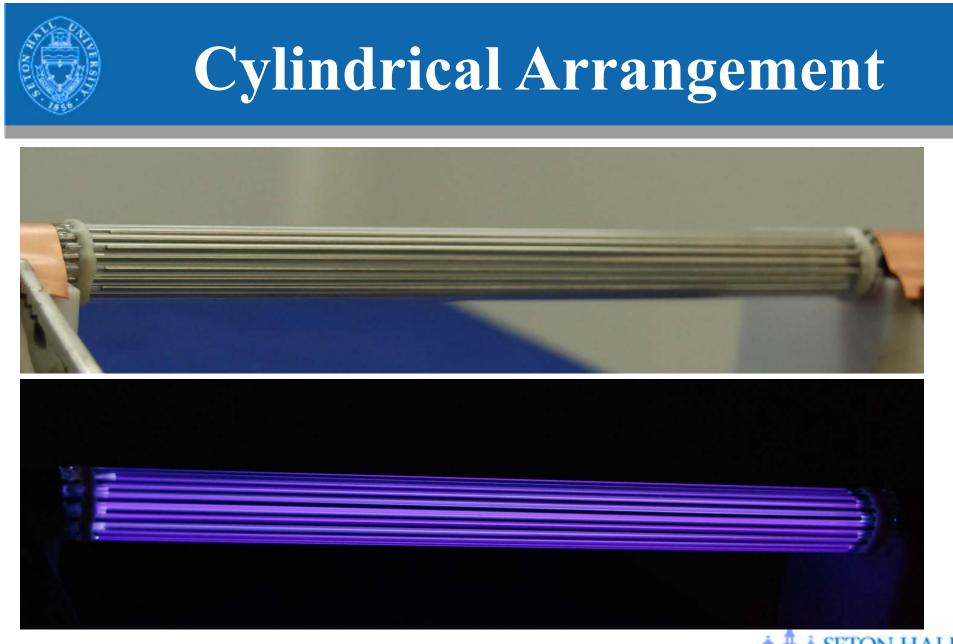
3-D Expansion







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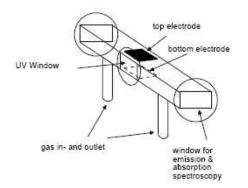




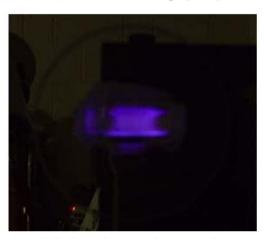
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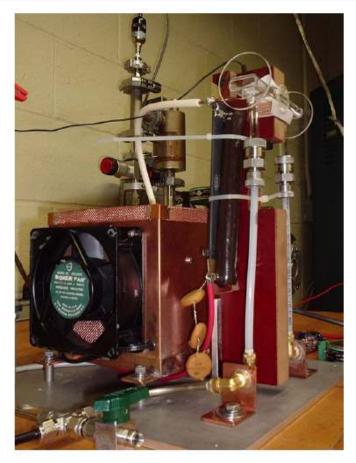
Pulsed DC Homogeneous DBD



The Dielectric Barrier Discharge (DBD) cell.



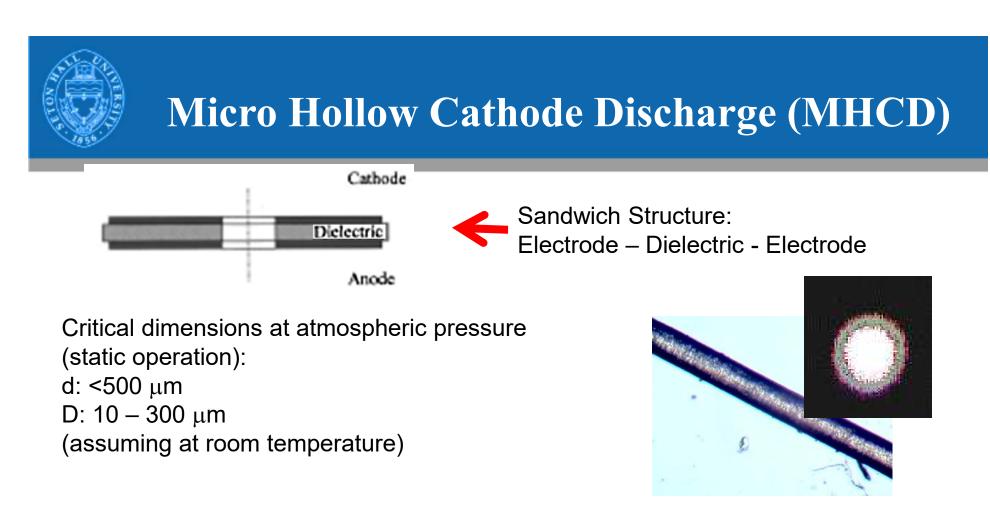
A typical plasma in pure nitrogen environment.



Side view of the DBD cell experiment with the fast high voltage transistor switch connected to the bottom electrode.



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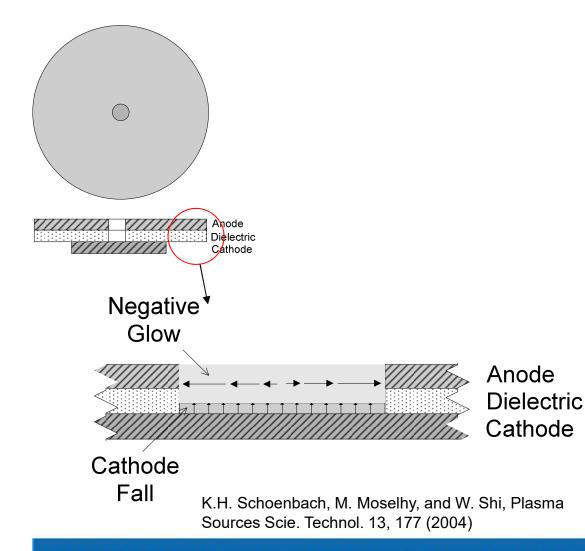
Most of the experimental studies are in rare gases and rare gas halide mixtures, with an increasing interest on atmospheric pressure air .

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Human Hair: 60 – 100 μm



<u>Cathode Boundary Layer Discharges (CBLD)</u>



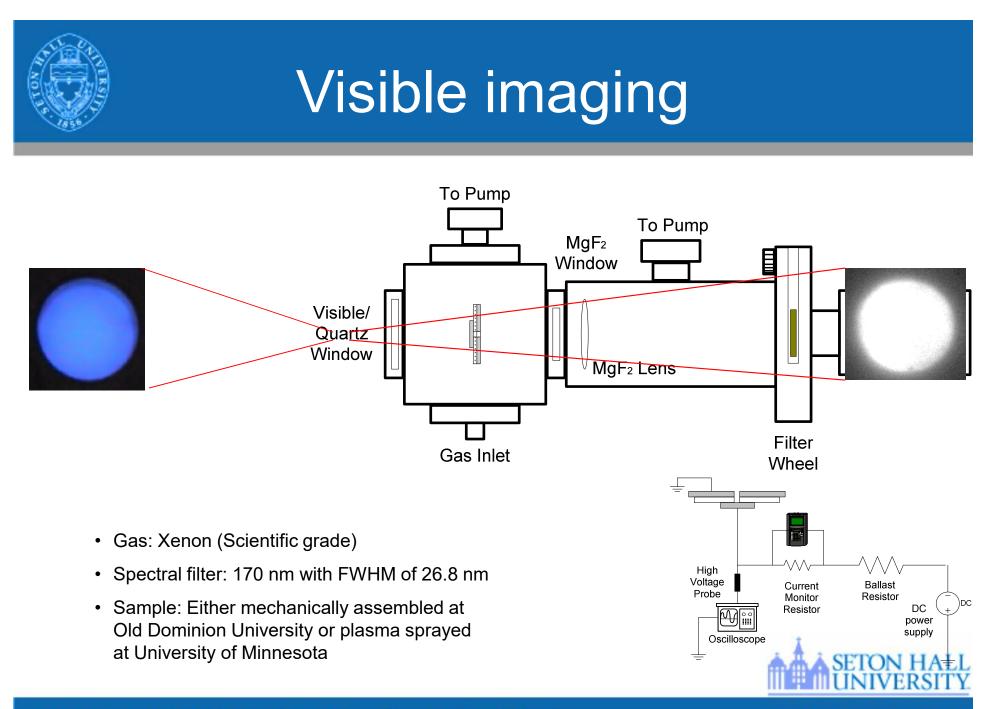
Materials: Electrodes: Molybdenum Dielectric: Alumina

Dimensions:

Electrode Thickness: 100 μm to 250 μm Dielectric Thickness: 100 μm to 250 μm Opening Diameter: 300 μm to 4.5 mm

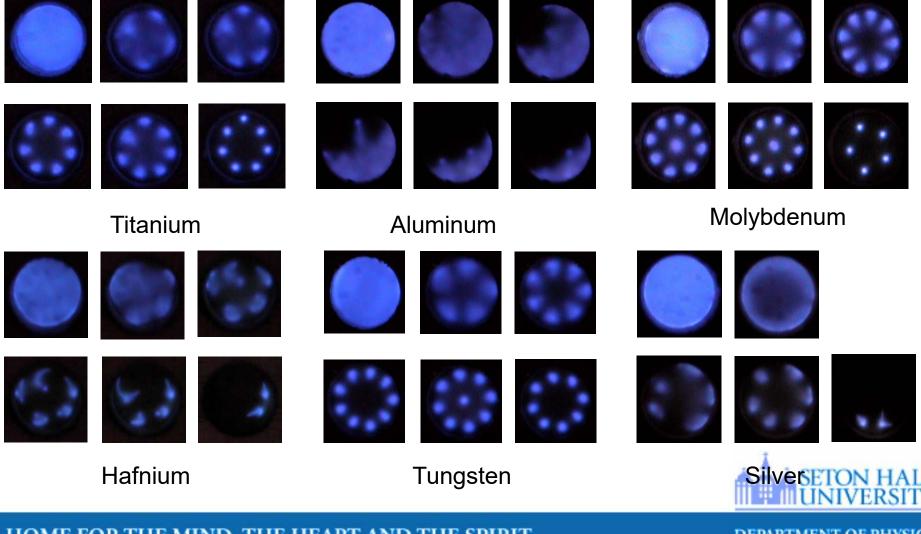
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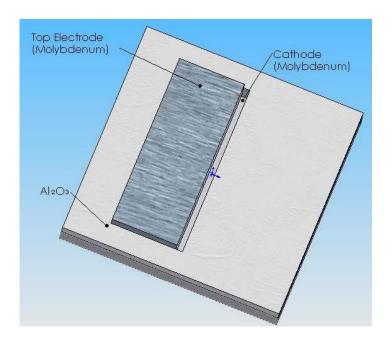


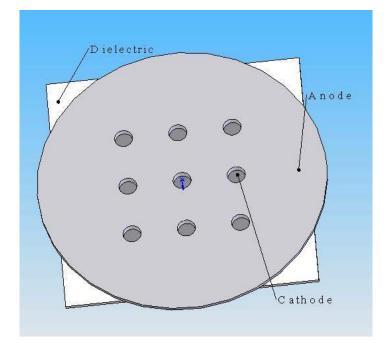
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Up Scaling

Maintain the sandwich structure and scale up in one direction – *Micro-slit structure*



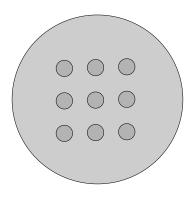


Parallel operation of multiple openings – *Multi-CBL structure*

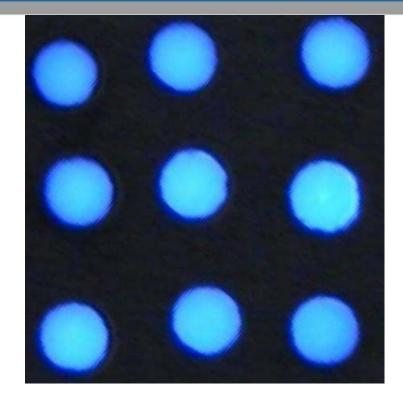


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Parallel operation without individual ballast



- Cathode: Mo ~0.25 mm thick
- Dielectric: $AI_2O_3 \sim 0.25$ mm thick
- Anode: Mo ~0.25 mm thick
- Hole diameter: ~0.75 mm
- Center to center distance: ~1.5 mm



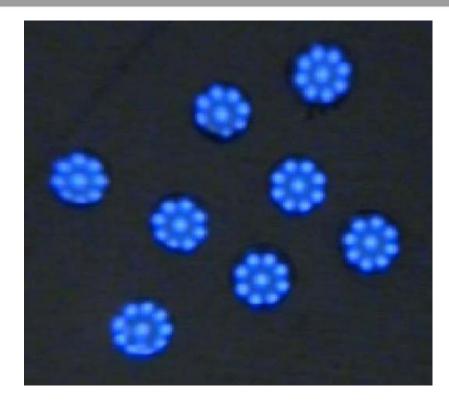
Visible Picture of parallel operation of 9 holes (Operating gas: xenon (scientific grade) Base pressure: ~1 mTorr; Working pressure: 200 Torr Cathode voltage: -398 V; Discharge current: 6 mA)

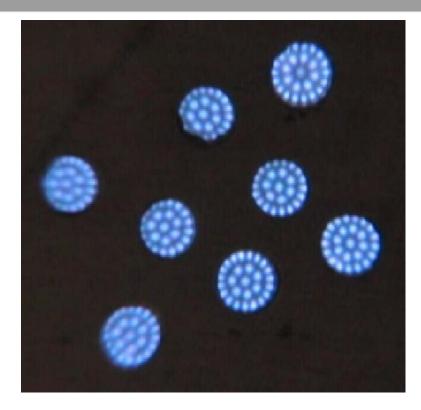


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Self-organization





Xenon (100 Torr)

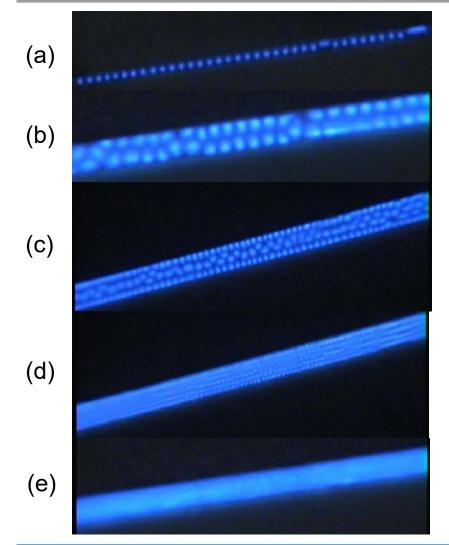
Xenon (250 Torr) (ignition assisted with mechanical switch)



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More on Self Organization



Self-organization (Visible images) of a microslit CBL discharge:

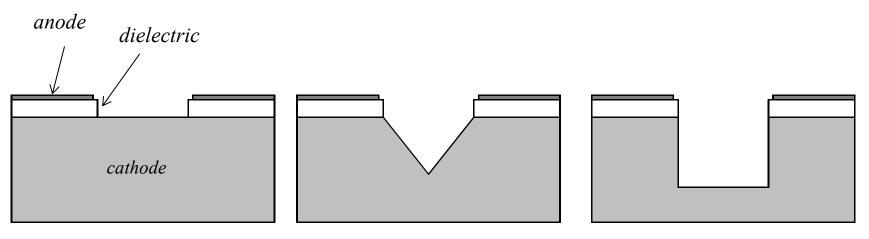
- (a) 50 Torr;
- (b) 150 Torr;
- (c) 245 Torr;
- (d) 354 Torr and
- (e) homogeneous discharge at 100 Torr (249V and 4 mA)

(The images are at different magnification for a better demonstration purpose)



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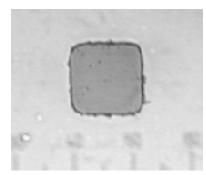


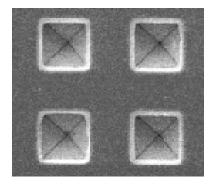


Planar Si Electrode

Inverted Pyramidal Electrode

DRIE Electrode









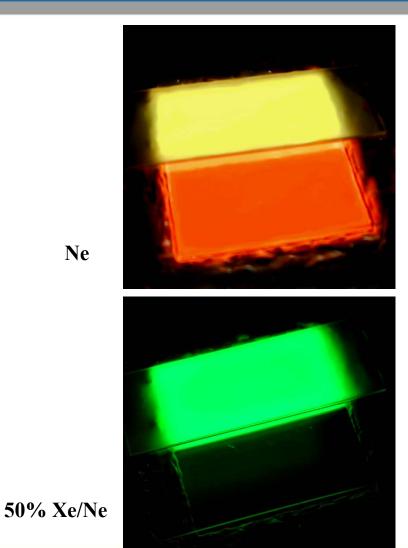
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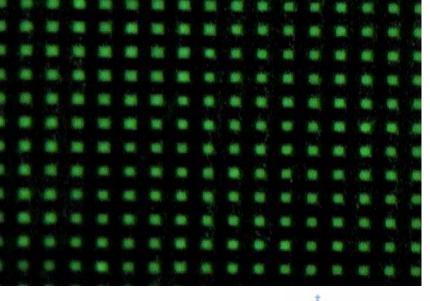
EXCITATION OF A GREEN PHOSPHOR (Mn:Zn₂SiO₄)

University of Illinois Laboratory for Optical Physics and Engineering

PHOSPHOR EMBEDDED MICROCAVITY







10 % Xe/Ne, 700 Torr

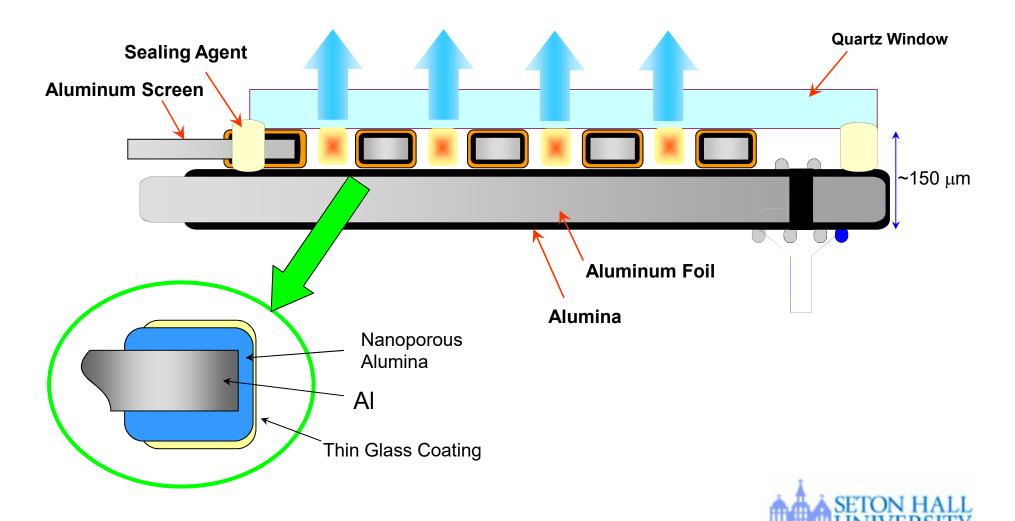


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University of Illinois Laboratory for Optical Physics and Engineering

Microdischarge Array Flat Lamp : Basic Design

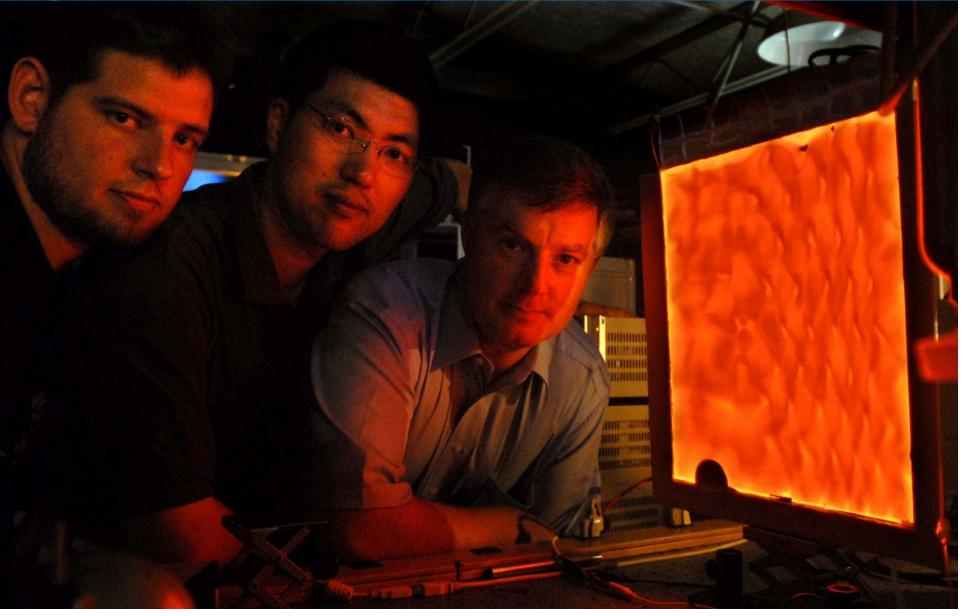


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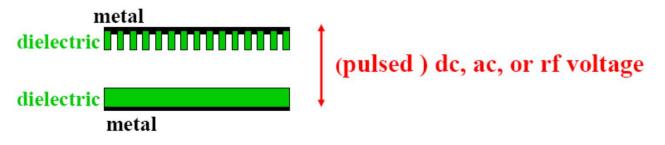




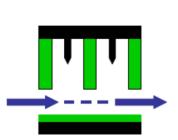
University of Illinois Laboratory for Optical Physics and Engineering



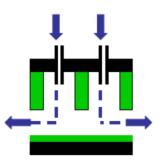




Capillary Plasma Electrode (CPE) Realizations



Solid Pin Electrodes (Cross Flow)



Hollow Pin Electrodes (Flow-Through)



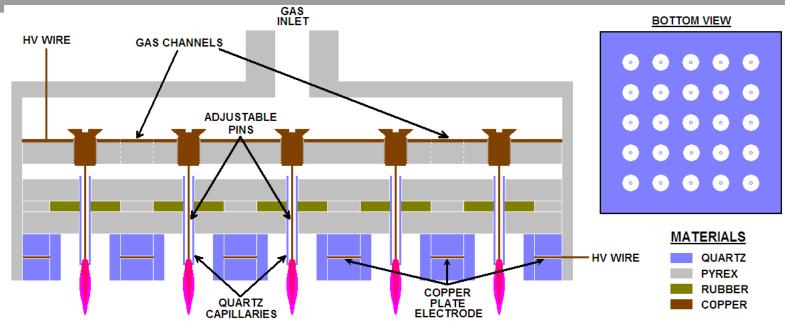
Cylindrical Electrodes (Longitudinal Flow)



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Multi-Capillary Plasma Electrode



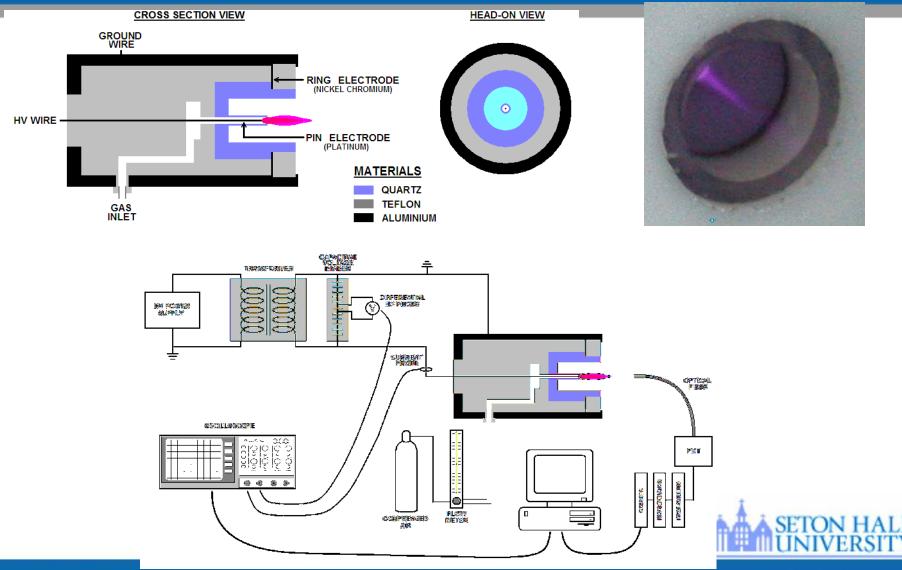




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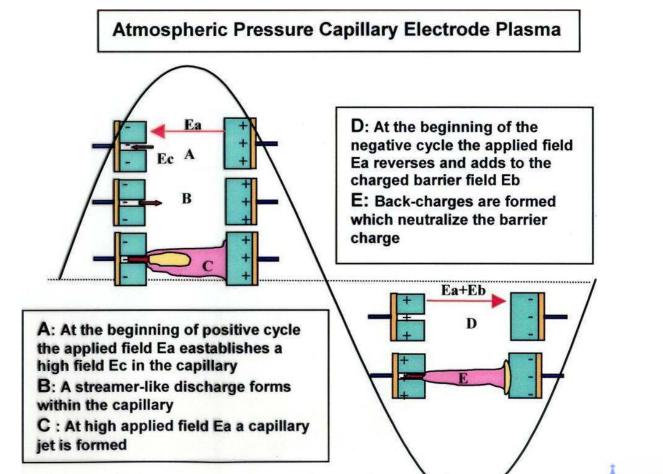


1 Capillary Plasma Electrode



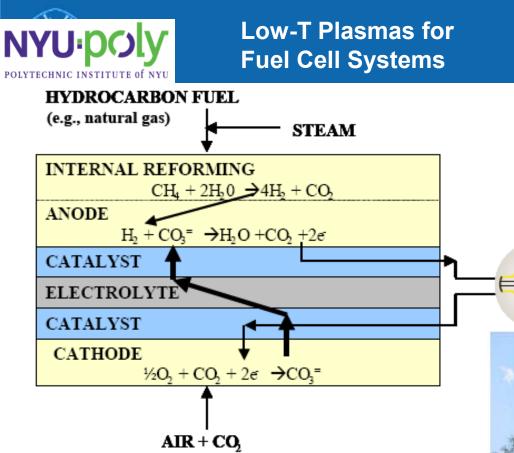
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Capillary Plasma Electrode - Operation





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Solid Oxide Fuel Cell Chemistry

Research and Technology Initiatives

Idea: Use low-T plasma to generate hydrocarbon feed gas for cell

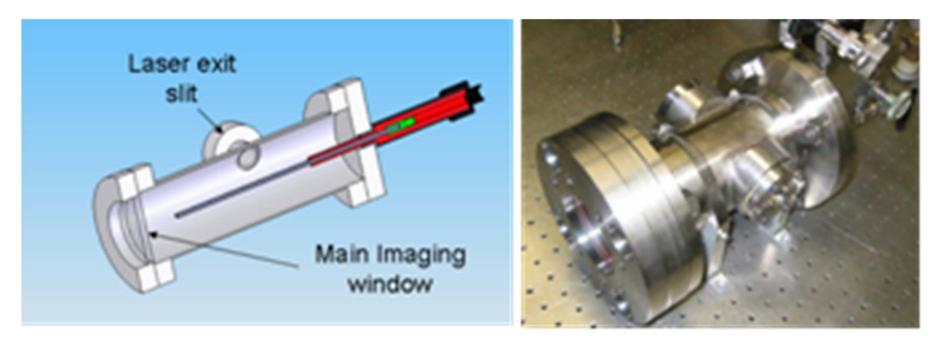


300 kW Fuel Cell

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Microplasma-Assisted Combustion



(Courtesy of M. Gundersen – USC)

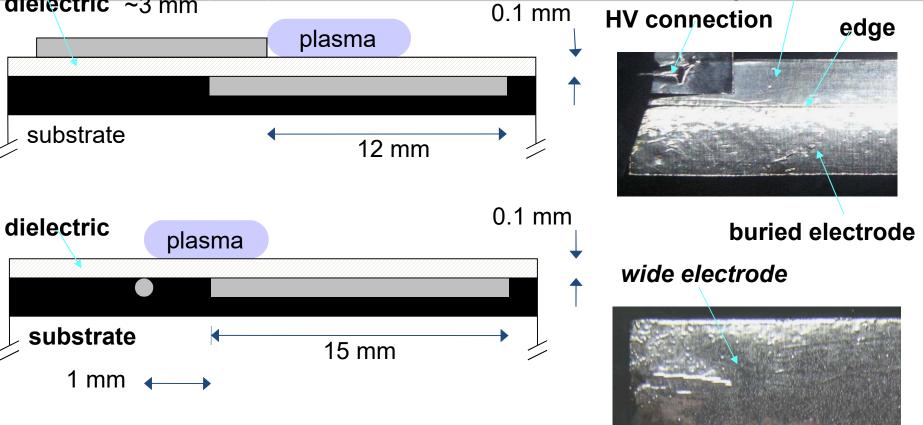
USC static reactor for studies of pulsed plasma induced ignition



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Plasma-Aero Experimental System University of Wisconsin (Madison) - Noah Hershkowitz

dielectric ~3 mm

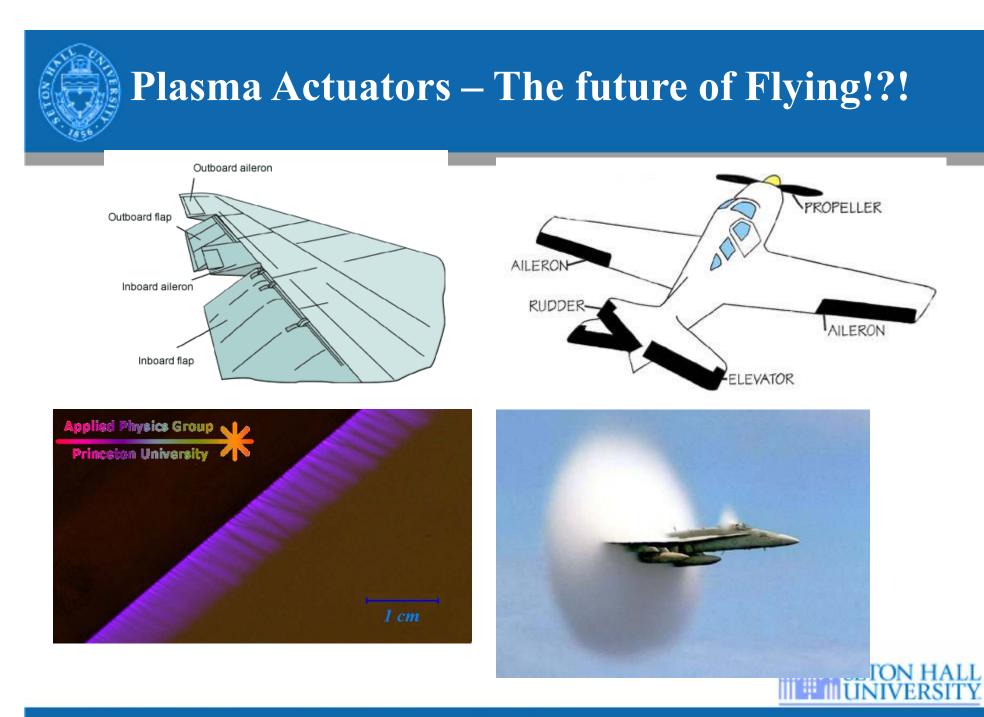


- Planar electrodes are 0.08 mm aluminum tape •
- Wire electrode is 0.38 mm diameter copper wire •
- Dielectric layer is 0.1 mm polyethylene, $\epsilon \approx 3.2 \epsilon_0$

DEPARTMENT OF PHYSICS

narrow ele

exposed electrode



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Plasma Actuators – The future of Flying!?!



Wing-less planes!!!

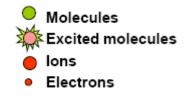


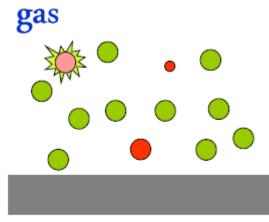
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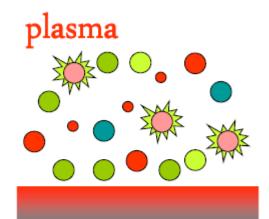
Surface Effects of Microplasmas

For instance, if we want to modify the surface of a material (e.g. a silicon wafer)





Small changes at the surface



Energy & reactive species can change the surface



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Plasma Application in Medicine

Direct Plasma – Charges on Tissue, Produced <u>In</u> Air or Oxygen



Indirect Plasma – Jet, Often <u>NOT</u> in OXYGEN

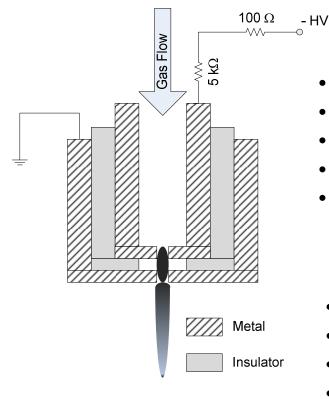




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DC MHCD Plasma Micro Jet



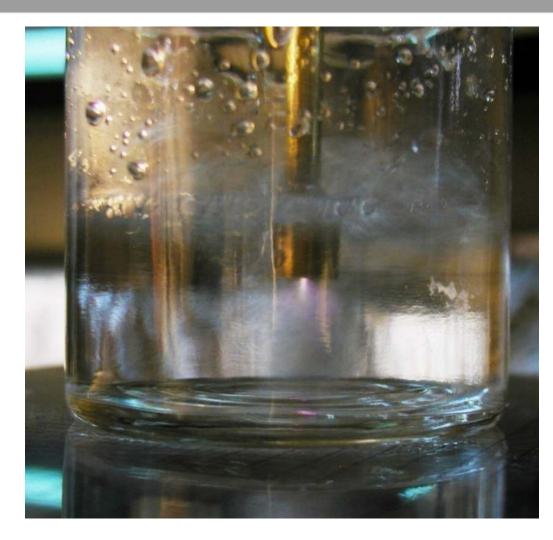
- Dimensions of the device are:
- Opening: 0.8 mm in diameter
- Separation: 0.5 mm
- Depth of exit opening: 1 mm
- Electrode material: copper
- Dimension of the plasma jet are
- •~ 800 μ m in diameter
- •8 -10 mm in length
- Flow rate: 2-3 SLM
- Power consumption: 8 W (400 VDC, 20 mA)

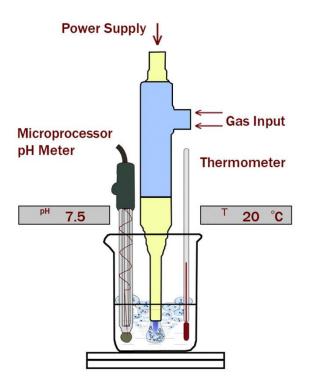






Plasma Micro Jet Inside Water





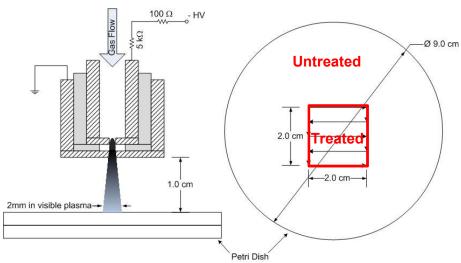


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Inactivation of Bacteria

Experimental Set-up



Experimental Procedure

Total path length:120 mmPositiveMoving speed:4 mm/sTime per path:30 sTotal treatment time:30s / 60s / 90 sArea exposure/path:< 1 s (visible plasma),~10 s (radical exposure)</td>

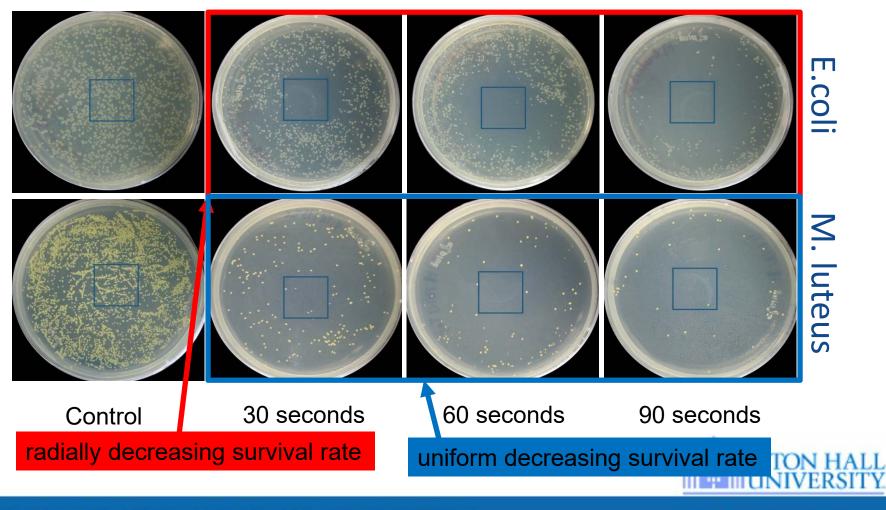
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	Bacteria	Gram stain
Α	Escherichia coli	Negative
В	Staphylococcus aureus	Positive
С	Micrococcus luteus	Positive
D	Bacillus megaterium	ninBositive
Е	Bacillus subtilis	Positive
F	Bacillus natto	Positive



Plasma Dose Effect

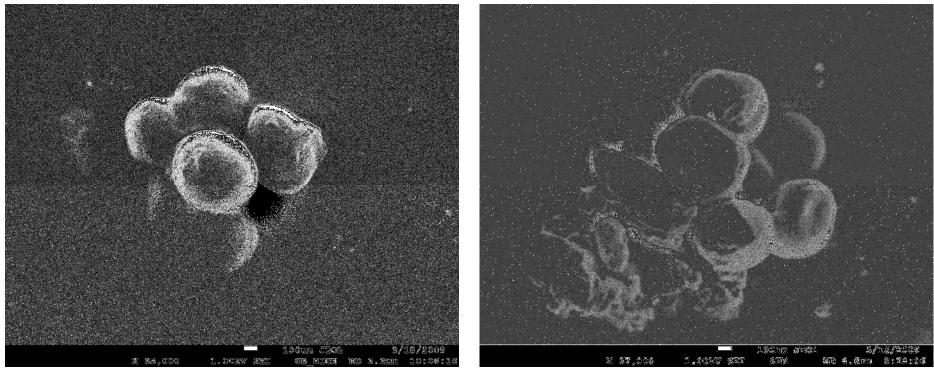


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SEM Pictures

SEM pictures of S. aureus before and after PMJ treatment



Control

PMJ treatment

SEM of PMJ treated S. aureus show clear poration on cell membrane as well as the change of the cell morphology.

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Living tissue sterilization without harm: Recent pig experiments



Courtesy: Drexel Plasma Institute



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Hemostasis and coagulation in Hairless mice, not immunocompromised (SKH₁)





Saphenous vein cut: without plasma animal continues to bleed for 10-20 minutes. 15 seconds of FE-DBD clots the blood and seals the vessel <u>without damaging</u> <u>tissue</u>, preventing additional bleeding.

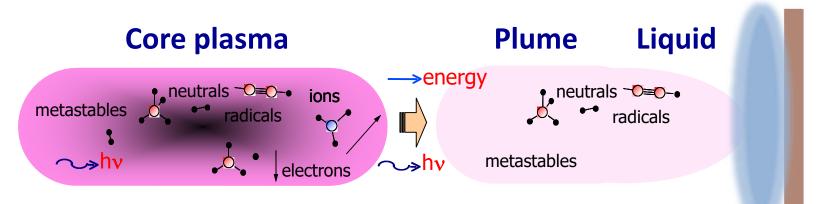
Courtesy: Drexel Plasma Institute



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Biological Mechanisms: Plasma Interference into Natural Intracellular Biochemistry

Biological sample

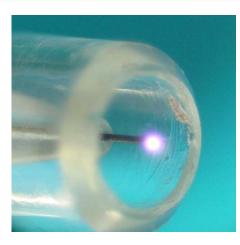




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Dental Application



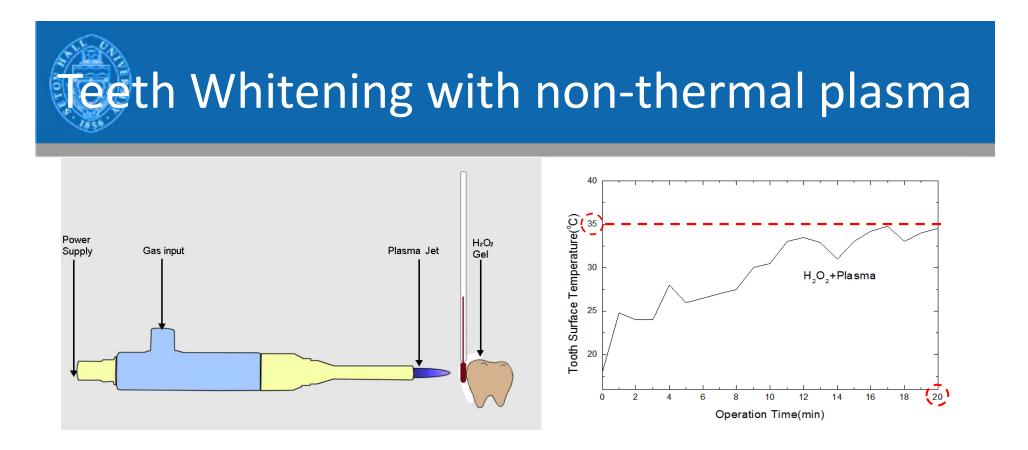




Cleaning of Dental Cavities Other Applications

- Bio Decontamination
- Sterilization of Medical Instruments and Wounds

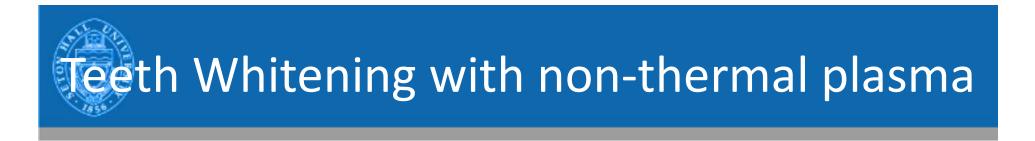




- The plasma jet did not heat tooth surface over 37 degrees.
- Heating the tooth over 42 degrees can causes severe damages to the nerves inside a tooth.

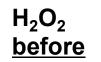
"No thermal-damages"

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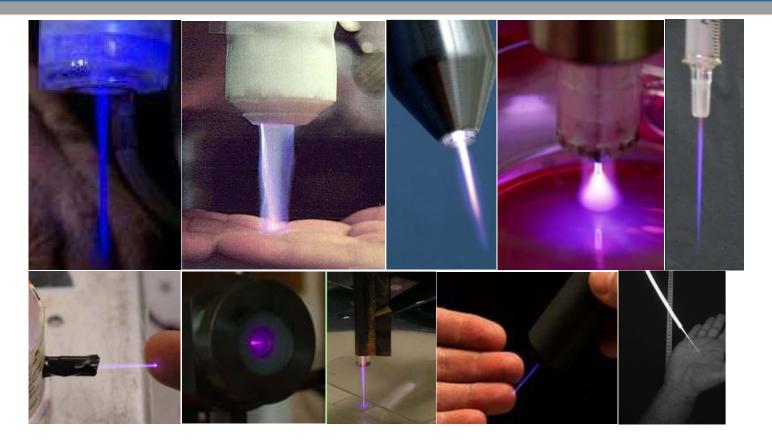






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A Brief Collection of Atmospheric Pressure Plasma Jets (APPJ)



Gases used: Helium, Argon... or mixed with reactive gases $(O_2, CH_4...)$ AC, pulsed DC, rf or microwave

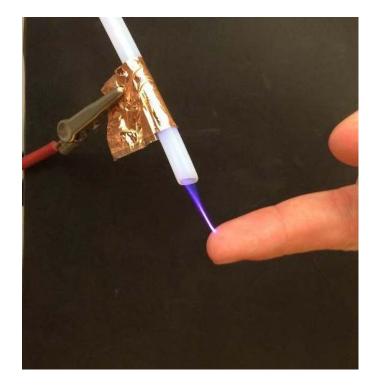


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Our Version of the Atmospheric Pressure Plasma Jet



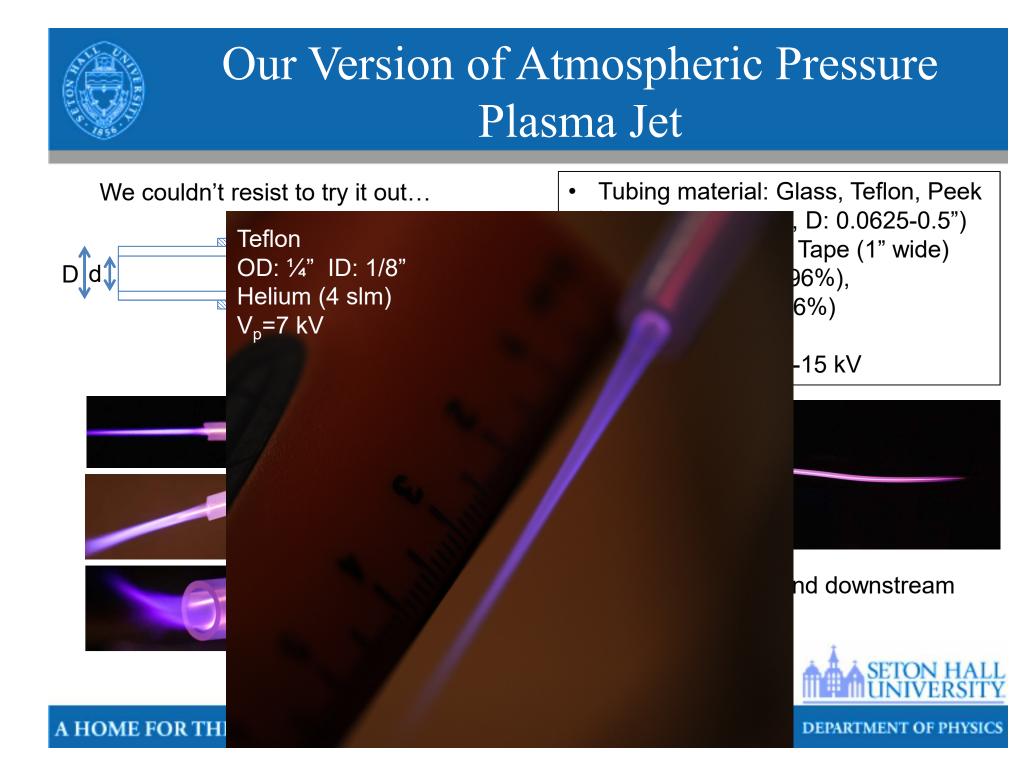
Interaction with aqueous environments



Interaction with organic surfaces

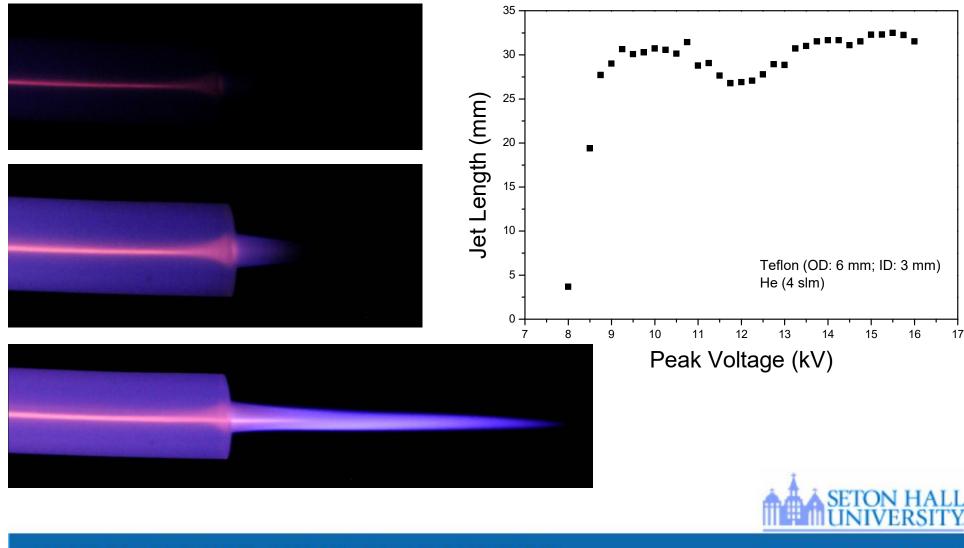


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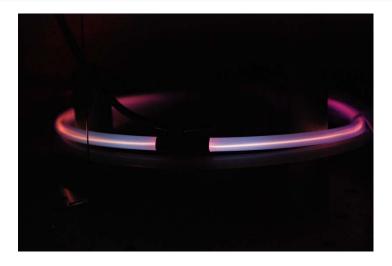
Jet Length vs. applied voltage



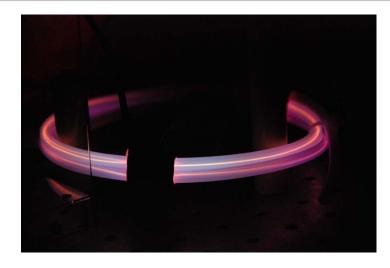
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Plasma in a Curved Teflon Tubing





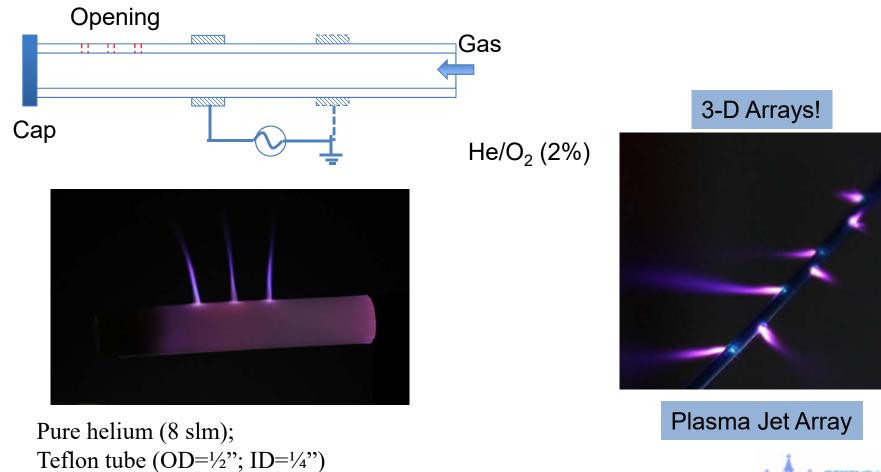


Distance the streamer can travel inside the insulating tubing depends on applied voltage, location of the powered electrode, type of working gas.





Move plasma jets in multiple directions



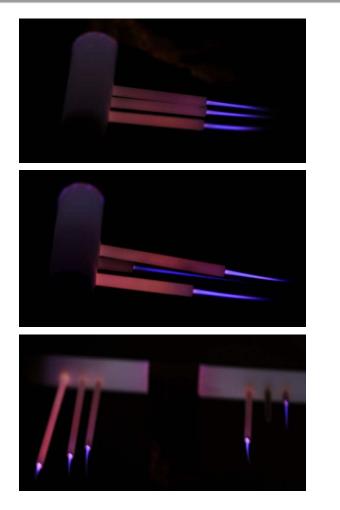


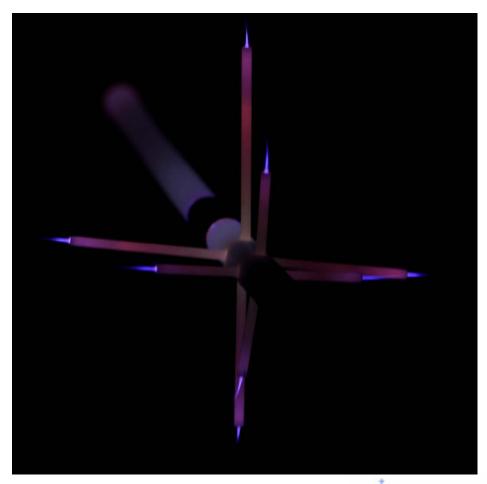
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3 holes (diameter: 1/16") on side wall



Further Extension of these Plasma Jets







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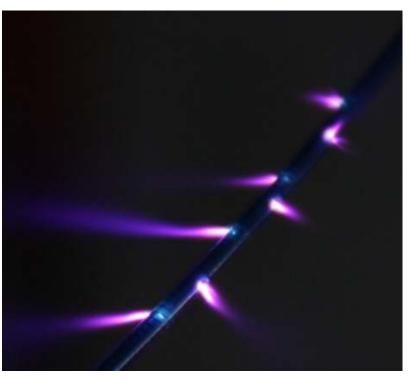
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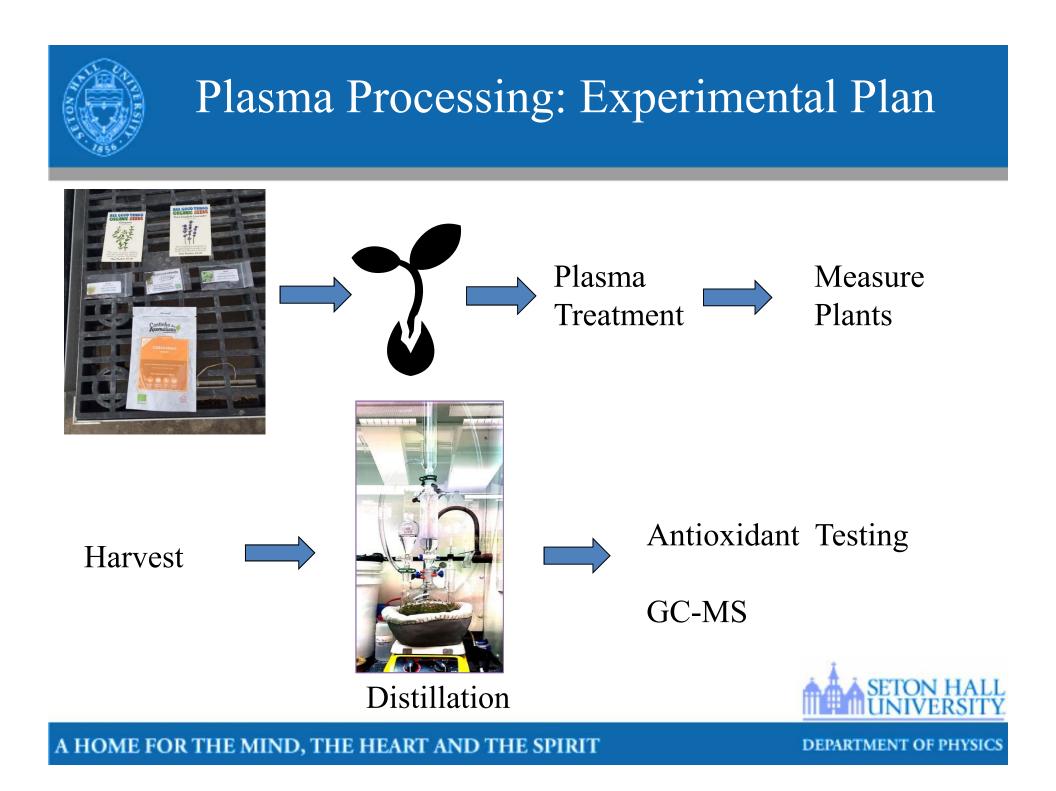
Water irrigation in fields and greenhouses





Plasma irrigation for agriculture

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Plasma Seed Treatments



(a) Side-view of basil seedlings grown from plasma treated seeds (left) and untreated seeds (right). (b) Top-view of basil seedlings grown from plasma treated seeds (left) and untreated seeds (right).



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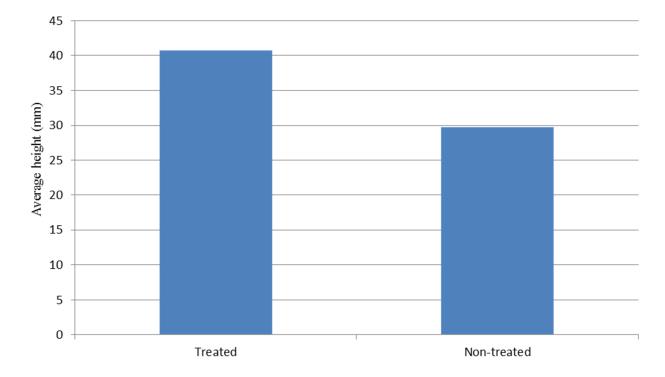
Basil: Plasma Treated vs. Untreated



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Basil: Plasma Treated vs. Untreated



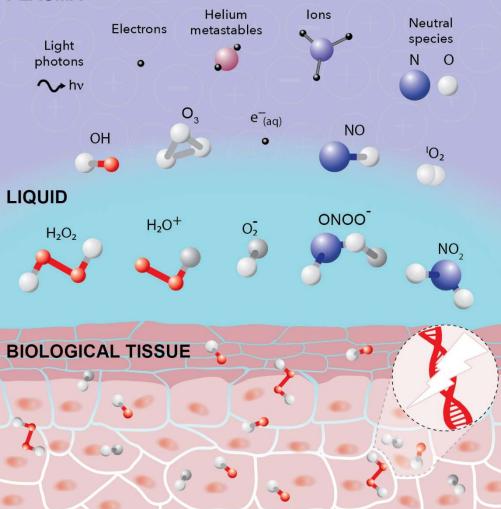
Graph demonstrating average final height of twelve treated and nontreated sweet basil plants after a month of growth from seeds.





Microplasma interaction with biological materials???



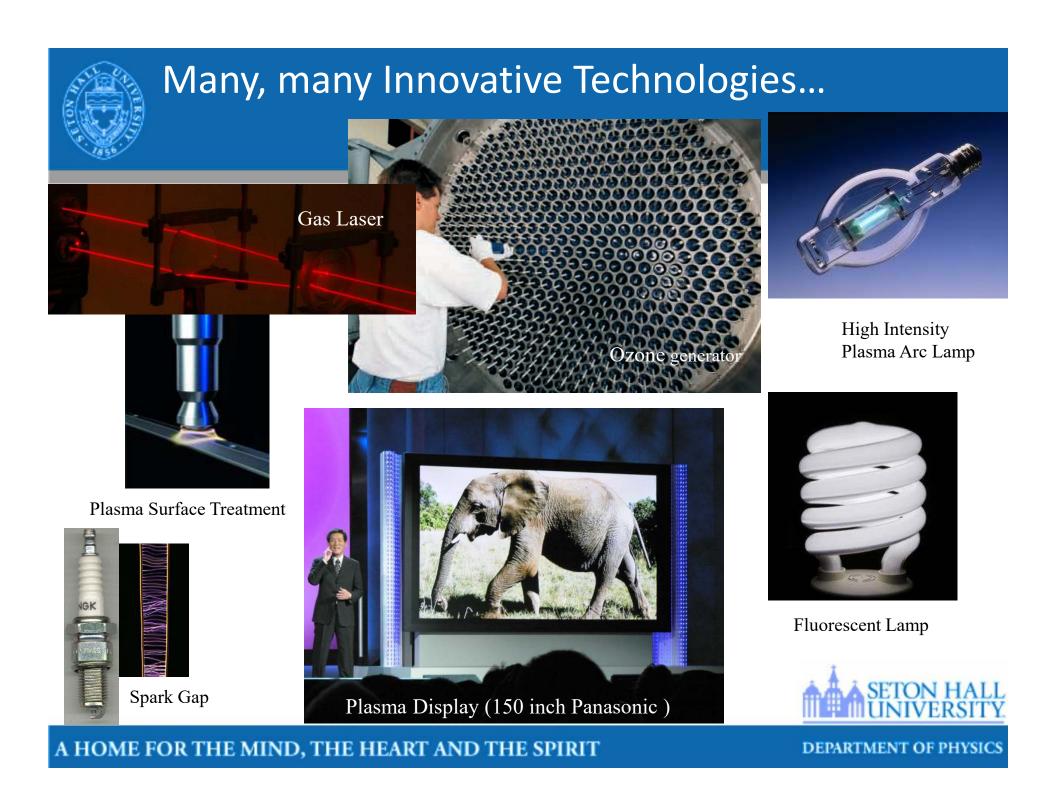


Many unanswered questions as to the role of plasma in the biological interactions with biological materials.

- What are the microplasmas doing to the live biological materials?
- Can microplasma sources be tailored to better control interactions with biological materials?



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Acknowledgements

Funding Partners:











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Major Global Challenges

National Academies of Sciences, Engineering, and Medicine have identified major global challenges in the 21st century that science and technology must help solve:

- 1. Energy
- 2. Environment
- 3. Water Resources
- 4. Agriculture and Food Security
- 5. Global Health / Population / Human Rights

Plasmas provide some potential solutions to many of these global challenges.







IEEE Transactions on Plasma Science





IEEE TRANSACTIONS ON PLASMA SCIENCE





Jose L. Lopez – Seton Hall University Senior Editor of Industrial, Commercial, and Medical Applications of Plasmas



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LABORATORY OF ELECTROPHYSICS & ATMOSPHERIC PLASMAS (LEAP)

11



The future ain't what it used to be...Yogi Berra





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Questions???





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Prof. Jose L. Lopez, PhD Department of Physics Laboratory of Electrophysics & Atmospheric Plasmas (LEAP)

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